

# **HEALTH QUARTERLY STATEMENT**

AS OF MARCH 31, 2012 OF THE CONDITION AND AFFAIRS OF THE

# UnitedHealthcare Community Plan, Inc.

NAIC (	Group Code <u>0707</u> <u>0707</u> NA (Current) (Prior)	IC Company Code 95467 Employer	s ID Number38-3204052				
Organized under the Laws of	Michigan	, State of Domicile or Port of	f Entry Michigan				
Country of Domicile		United States of America					
Licensed as business type:	Не	alth Maintenance Organization					
Is HMO Federally Qualified? Yes	s[ ] No[ X]						
Incorporated/Organized	10/11/1994	Commenced Business	10/11/1994				
Statutory Home Office	26957 Northwestern Highway, Suite 4	00 ,	Southfield , MI 48033				
	(Street and Number)	(0	City or Town, State and Zip Code)				
Main Administrative Office	26957	Northwestern Highway, Suite 400					
	Southfield , MI 48033	(Street and Number)	248-559-5656				
(City o	or Town, State and Zip Code)	(	Area Code) (Telephone Number)				
Mail Address	9900 Bren Rd East, MN008-W345	· · · · · · · · · · · · · · · · · · ·	Minnetonka , MN 55343				
	(Street and Number or P.O. Box)	`	City or Town, State and Zip Code)				
Primary Location of Books and R	ecords 2695	7 Northwestern Highway, Suite 400 (Street and Number)					
(City of	Southfield , MI 48033 or Town, State and Zip Code)	· · · · · · · · · · · · · · · · · · ·	248-559-5656 Area Code) (Telephone Number)				
` ,	ir Town, State and Zip Gode)		Area Code) (Telephone Number)				
Internet Web Site Address		www.uhcgreatlakes.com					
Statutory Statement Contact	Andrea A Woods (Name)		952-936-1269 (Area Code) (Telephone Number)				
an	drea_a_woods@uhc.com	, ,	952-936-1370				
	(E-mail Address)		(FAX Number)				
President	David Keith Livingston	OFFICERS  Chief Financial Officer	Carol Ann Gothard				
	Eric Jacob Wexler		Robert Worth Oberrender				
Michelle Marie Huntley Dil	Assistant Secretary	OTHER OFFICERS					
Chris Alan S Laura Ann		<b>DIRECTORS</b> James Daniel Donovan William Everett Ralston	John Joseph Kaelin				
State of	State of		State of				
County of	County of		County of				
herein described assets were the at related exhibits, schedules and exp reporting entity as of the reporting Statement Instructions and Accoun not related to accounting practices officers also includes the related or	osolute property of the said reporting entity, fro- lanations therein contained, annexed or referre period stated above, and of its income and dec thing Practices and Procedures manual except the and procedures, according to the best of their	the and clear from any liens or claims thereon, exist to, is a full and true statement of all the assets fluctions therefrom for the period ended, and have to the extent that: (1) state law may differ; or, (2 information, knowledge and belief, respectively when required, that is an exact copy (except for	ntity, and that on the reporting period stated above, all of the cept as herein stated, and that this statement, together with and liabilities and of the condition and affairs of the said be been completed in accordance with the NAIC Annual that state rules or regulations require differences in reporting. Furthermore, the scope of this attestation by the described formatting differences due to electronic filing) of the enclosed				
David Keith Livingsto	 on	Eric Jacob Wexler	Carol Ann Gothard				
President		Secretary	Chief Financial Officer				
Subscribed and sworn to before me	a this Cylesonikasi	and sworn to before me this	Subscribed and sworn to before me this				
day of		day of	Subscribed and sworn to before me this  day of				
		a. Is this an original fi b. If no,	ling? Yes [ X ] No [ ]				

1. State the amendment number.....

3. Number of pages attached.....

# **ASSETS**

			Current Statement Date		T
		1	2	3 Net Admitted Assets	December 31 Prior Year Net
		Assets	Nonadmitted Assets	(Cols. 1 - 2)	Admitted Assets
1.	Bonds	41, 154,828		41, 154,828	39,853,474
2.	Stocks:				
	2.1 Preferred stocks			0	0
	2.2 Common stocks			0	0
3.	Mortgage loans on real estate:				
	3.1 First liens			0	0
	3.2 Other than first liens			0	0
4.	Real estate:				
	4.1 Properties occupied by the company (less \$				
	encumbrances)			0	0
	4.2 Properties held for the production of income (less				
	\$ encumbrances)			0	0
	4.3 Properties held for sale (less \$				
	encumbrances)			0	0
5.	Cash (\$(2,289,732) ), cash equivalents				
5.					
	(\$1,253,816 ) and short-term	440 700 500		440 700 500	100 000 007
	investments (\$141,736,445 )			140,700,529	
6.	Contract loans (including \$ premium notes)			0	0
7.	Derivatives			0	0
8.	Other invested assets				0
9.	Receivables for securities				0
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets		0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	181,855,357	0	181,855,357	163, 144, 301
13.	Title plants less \$ charged off (for Title insurers				
	only)			0	0
14.	Investment income due and accrued	656 , 173		656 , 173	804,505
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	1,333,060		1,333,060	1,406,505
	15.2 Deferred premiums, agents' balances and installments booked but				
	deferred and not yet due (including \$				
	earned but unbilled premiums)			0	0
	15.3 Accrued retrospective premiums	118,146		118,146	0
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers			0	78,900
	16.2 Funds held by or deposited with reinsured companies				0
	16.3 Other amounts receivable under reinsurance contracts				0
17	Amounts receivable relating to uninsured plans			0	
	Current federal and foreign income tax recoverable and interest thereon			962,217	
	Net deferred tax asset			2,019,525	
	Guaranty funds receivable or on deposit				
19.					
20.	Electronic data processing equipment and software				0
21.	Furniture and equipment, including health care delivery assets			•	•
	(\$)				
22.	Net adjustment in assets and liabilities due to foreign exchange rates				0
23.	Receivables from parent, subsidiaries and affiliates				0
24.	Health care (\$5,288,814 ) and other amounts receivable				
25.	Aggregate write-ins for other than invested assets	4,704	4,704	0	0
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	198,588,166	6,354,874	192,233,292	174,315,587
27	From Separate Accounts, Segregated Accounts and Protected Cell	190,300,100	0,334,674	192,200,292	174,515,367
21.	Accounts			0	0
28.	Total (Lines 26 and 27)	198,588,166	6,354,874	192,233,292	174,315,587
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page				0
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
	Other Assets	-	4,704		0
2501. 2502.				0	0
2503.		0	0	^	^
2598. 2500	Summary of remaining write-ins for Line 25 from overflow page	4,704	4,704	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	4,704	4,704	U	U

# **LIABILITIES, CAPITAL AND SURPLUS**

	,		Current Period		Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less \$861,087 reinsurance ceded)			100,040,415	
1. 2.	Accrued medical incentive pool and bonus amounts			1,655,444	
3.	Unpaid claims adjustment expenses			1,353,310	
4.	Aggregate health policy reserves, including the liability of	1,000,010		1,000,010	1,210,171
٦.	\$ for medical loss ratio rebate per the Public				
	Health Service Act			0	238,095
5.	Aggregate life policy reserves			0	
6.	Property/casualty unearned premium reserve				
7.	Aggregate health claim reserves				
8.	Premiums received in advance				
9.	General expenses due or accrued				
10.1	Current federal and foreign income tax payable and interest thereon	10,002,477		10,002,471	
10.1	(including \$ on realized gains (losses))			0	1 834 307
10.2	Net deferred tax liability			0	0
11.	Ceded reinsurance premiums payable			0	
12.	Amounts withheld or retained for the account of others				
13.	Remittances and items not allocated				3,701
14.	Borrowed money (including \$ current) and				
14.	interest thereon \$ (including				
	\$ current)			0	٥
15	Amounts due to parent, subsidiaries and affiliates			3,427,535	
15. 16.	Amounts due to parent, subsidiaries and affiliates.  Derivatives				
17.	Payable for securities				
18.	Payable for securities lending				0
19.					0
19.	authorized reinsurers and \$ unauthorized				
	reinsurers) unautionzed			0	0
20.	Reinsurance in unauthorized companies				0
	·				
21. 22.	Net adjustments in assets and liabilities due to foreign exchange rates Liability for amounts held under uninsured plans			1 , 163 , 610	
23.	Aggregate write-ins for other liabilities (including \$			1, 103,010	1, 107
23.	current)		0	103,452	7 507
24.	Total liabilities (Lines 1 to 23)			128,250,943	
2 <del>4</del> . 25.	Aggregate write-ins for special surplus funds			0	0
26.	Common capital stock		XXX		
27.	Preferred capital stock				
28.	Gross paid in and contributed surplus				
29.	Surplus notes				
30.	Aggregate write-ins for other than special surplus funds				
31.	Unassigned funds (surplus)			16,978,957	
32.	Less treasury stock, at cost:				20,011,111
02.	32.1shares common (value included in Line 26				
	\$)	XXX	XXX		
	32.2 shares preferred (value included in Line 27				
	\$	xxx	xxx		
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)		XXX	63,982,349	67,380,569
34.	Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	192,233,292	174,315,586
	DETAILS OF WRITE-INS			,,	, , . <del></del>
2301.	Unclaimed Property	103 452		103 452	7.507
2302.	GIOTATINO TTOPOTTY			ŕ	, , , , , , , , , , , , , , , , ,
2303.					
2398.	Summary of remaining write-ins for Line 23 from overflow page		0	0	0
2399.	Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	103,452	0	103,452	7,507
2501.	3,	XXX	XXX	,	, , ,
2502.		XXX			
2503.		1001	1001		
2598.	Summary of remaining write-ins for Line 25 from overflow page		XXX	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.	Totals (Emico 2001 timough 2000 plate 2000)(Emic 20 above)	XXX	XXX	v	
3002.					
3003.					
3098.	Summary of remaining write-ins for Line 30 from overflow page			0	0
3099.	Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

# **STATEMENT OF REVENUE AND EXPENSES**

		Current To Da		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	XXX			2,918,785
2.	Net premium income ( including \$ non-health		,	, , ,	, , , , , ,
	premium income)	xxx	226,987,379	215,774,317	890,754,832
3.	Change in unearned premium reserves and reserve for rate credits	xxx	356,240	358,590	(108,400)
4.	Fee-for-service (net of \$ medical expenses)	xxx			0
5.	Risk revenue	xxx			0
6.	Aggregate write-ins for other health care related revenues	xxx	0	0	0
7.	Aggregate write-ins for other non-health revenues	xxx	0	0	0
8.	Total revenues (Lines 2 to 7)	xxx	227,343,619	216, 132,907	890,646,432
	Hospital and Medical:				
9.	Hospital/medical benefits		153,854,116	138,096,978	609,270,466
10.	Other professional services		4,472,931	2,926,664	12,392,239
11.	Outside referrals				0
12.	Emergency room and out-of-area		8,646,839	7,862,744	32,899,747
13.	Prescription drugs		25,926,145	19,528,284	85, 160, 287
14.	Aggregate write-ins for other hospital and medical	0 ]	0	0	0
15.	Incentive pool, withhold adjustments and bonus amounts		1,584,713	1,948,528	4,771,814
16.	Subtotal (Lines 9 to 15)	0	194,484,744	170,363,198	744,494,553
	Less:				
17.	Net reinsurance recoveries		` ' '	,	1,859,793
18.	Total hospital and medical (Lines 16 minus 17)	0	194,689,622	169,843,315	742,634,760
19.	Non-health claims (net)				
20.	Claims adjustment expenses, including \$1,947,897 cost				
	containment expenses				15,793,021
21.	General administrative expenses		28, 119, 181	27,313,831	130 , 114 , 068
22.	Increase in reserves for life and accident and health contracts				
	(including \$ increase in reserves for life only)				0
23.	Total underwriting deductions (Lines 18 through 22)			206 , 113 , 686	
24.	Net underwriting gain or (loss) (Lines 8 minus 23)			10,019,221	2, 104, 583
25.	Net investment income earned		350,000	324,753	1,346,533
26.	Net realized capital gains (losses) less capital gains tax of				
	\$59,420				
27.	Net investment gains (losses) (Lines 25 plus 26)	0	457,499	422,365	1,510,381
28.	Net gain or (loss) from agents' or premium balances charged off [(amount				
	recovered \$)				
	(amount charged off \$)]				
29.	Aggregate write-ins for other income or expenses	0	0	0	0
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	xxx	1,517,544	10,441,586	3,614,964
31.		XXX			1,071,519
32.	Net income (loss) (Lines 30 minus 31)	xxx	1,370,230	7,319,628	2,543,445
	DETAILS OF WRITE-INS		, ,	, ,	, ,
0601.		XXX			
0602.					
0603.					
0698.	Summary of remaining write-ins for Line 6 from overflow page			0	۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰
0699.	Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0		٥٥
0701.	·			Ů	
0701.					
0703.		XXX			
0798.	Summary of remaining write-ins for Line 7 from overflow page			0	0
0799.	Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.					
1402.					
1403					
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499.	Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901.					
2902.					
2903					
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	0	0	n
	Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0	0	0

**STATEMENT OF REVENUE AND EXPENSES (Continued)** 

	STATEMENT OF REVENUE AND	EXPENSES (C	<u>sontinuet</u>	<b>3</b>
		Current Year to Date	Prior Year to Date	Prior Year Ended December 31
	CAPITAL AND SURPLUS ACCOUNT			
33.	Capital and surplus prior reporting year	67,380,569	67,201,837	67,201,837
34.	Net income or (loss) from Line 32	1,370,230	7,319,628	2,543,445
35.	Change in valuation basis of aggregate policy and claim reserves			
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$			
37.	Change in net unrealized foreign exchange capital gain or (loss)			
38.	Change in net deferred income tax	805,459	(40,408)	1,546,239
39.	Change in nonadmitted assets	(2,415,997)	769	(3,910,952)
40	Change in unauthorized reinsurance	0	0	0
41.	Change in treasury stock	0	0	0
42.	Change in surplus notes	0	0	0
43.	Cumulative effect of changes in accounting principles			
44.	Capital Changes:			
	44.1 Paid in	0	0	0
	44.2 Transferred from surplus (Stock Dividend)	0	0	0
	44.3 Transferred to surplus			
45.	Surplus adjustments:			
	45.1 Paid in	0	0	0
	45.2 Transferred to capital (Stock Dividend)			
	45.3 Transferred from capital			
46.	Dividends to stockholders			
47.	Aggregate write-ins for gains or (losses) in surplus	(3, 157, 912)	0	0
48.	Net change in capital & surplus (Lines 34 to 47)	(3,398,220)	7,279,989	178,732
49.	Capital and surplus end of reporting period (Line 33 plus 48)	63,982,349	74,481,826	67,380,569
	DETAILS OF WRITE-INS			
4701.	Correction of errors	(3, 157, 912)	0	0
4702.				
4703.				
4798.	Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799.	Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	(3, 157, 912)	0	0

# **CASH FLOW**

1	CASH FLOW			
		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	230,727,453	215,750,744	891,977,540
2.	Net investment income	(214,866)	435,071	2,417,182
3.	Miscellaneous income	0	0	0
4.	Total (Lines 1 to 3)	230,512,588	216, 185, 815	894,394,722
5.	Benefit and loss related payments	190,465,997	184,801,338	733,312,322
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	17,714,895	34,738,807	140,727,208
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$59,420 tax on capital gains (losses)	1,995,142	(1,112,000)	(1,781,068)
10.	Total (Lines 5 through 9)	210,176,034	218,428,144	872,258,462
11.	Net cash from operations (Line 4 minus Line 10)	20,336,554	(2,242,330)	22,136,260
11.	Net cash non operations (Line 4 minus Line 10)	20,000,004	(2,242,330)	22, 130,200
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	5,217,575	6,256,265	28,075,614
	12.2 Stocks	0	0	0
	12.3 Mortgage loans	0	0	0
	12.4 Real estate	0	0	0
	12.5 Other invested assets	0	0	0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
	12.7 Miscellaneous proceeds	0	0	0
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	5,217,575	6,256,265	28,074,939
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	6.436.457	6.761.776	26.265.738
	13.2 Stocks			0
		0		
	13.4 Real estate	0	0	0
	13.5 Other invested assets	0	0	0
	13.6 Miscellaneous applications	0	1,340,066	1,340,066
	13.7 Total investments acquired (Lines 13.1 to 13.6)	6,436,457	8,101,842	27,605,804
14.	Net increase (or decrease) in contract loans and premium notes	0	0	0
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(1,218,882)	(1,845,577)	469,135
10.	Net cash non investments (Line 12.5 minus Line 16.7 and Line 14)	(1,210,002)	(1,045,577)	400, 100
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			0
	16.2 Capital and paid in surplus, less treasury stock			0
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities			
	16.5 Dividends to stockholders	0	0	0
	16.6 Other cash provided (applied)	(1,707,969)	(7,088,474)	(8,523,586)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(1,707,969)	(7,088,474)	(8,523,586)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	17,409,702	(11, 176, 381)	14,081,809
19.	Cash, cash equivalents and short-term investments:		,	•
	•	123,290,827	109,209,018	109,209,018
	19.2 End of period (Line 18 plus Line 19.1)	140,700,529	98,032,637	123,290,827

Note: Supplemental disclosures of cash flow information for non-cash transactions:		

### **EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	DIT OF PREIMION	Comprehe (Hospital & N	ensive	4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	246,475	0	1,009	0	0	0	0	3,281	242, 185	
2. First Quarter	246,565		954					3,666	241,945	
3. Second Quarter	0									
4. Third Quarter	0									
5. Current Year	0									
6. Current Year Member Months	742,311		2,955					10,590	728,766	
Total Member Ambulatory Encounters for Period:										
7 Physician	344,374		664					7,371	336,339	
8. Non-Physician	141,491		152					2,941	138,398	
9. Total	485,865	0	816	0	0	0	0	10,312	474,737	
10. Hospital Patient Days Incurred	30,994		9					2,720	28,265	
11. Number of Inpatient Admissions	6,952		4					368	6,580	
12. Health Premiums Written (a)	227 ,463 , 130		232,037					11, 103, 243	216, 127,850	
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	227,581,276		232,037					11,221,389	216, 127,850	
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services	190,705,246		61,967					10,349,450	180,293,829	
18. Amount Incurred for Provision of Health Care Services	194,484,744		212,176					9,928,858	184,343,710	

# CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims											
1	2	3	4	5	6	7					
Account	1 - 30 Days	31 - 60 Days	61 - 90 Days	91 - 120 Days	Over 120 Days	Total					
Claims Unpaid (Reported)											
				•••••							
0299999 Aggregate accounts not individually listed-uncovered						0					
0399999 Aggregate accounts not individually listed-covered	16,912,409	3,482,394	5,255,569			32,825,736					
0499999 Subtotals	16,912,409	3,482,394	5,255,569	7,175,364	0	32,825,736					
0599999 Unreported claims and other claim reserves						68,075,766					
0699999 Total amounts withheld											
0799999 Total claims unpaid						100,901,502					
0899999 Accrued medical incentive pool and bonus amounts						1,655,444					

### **UNDERWRITING AND INVESTMENT EXHIBIT**

#### ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

ANALYSIS OF CLAIR	MS UNPAID - PRIOR YEAR - NET OF REINSL	JRANCE				
	Claims		Liabi		5	6
	Year to	Date	End of Curre	ent Quarter		
	1	2	3	4		
						Estimated Claim
	On		On			Reserve and
	Claims Incurred Prior	On	Claims Unpaid	On	Claims Incurred in	Claim Liability
	to January 1 of	Claims Incurred	Dec. 31	Claims Incurred	Prior Years	December 31 of
Line of Business	Current Year	During the Year	of Prior Year	During the Year	(Columns 1 + 3)	Prior Year
2 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3	Carron Tour	2 49 40 . 04.	0.1.10.100.	2 ag a ca.	(00000000000000000000000000000000000000	
Comprehensive (hospital and medical)	63,821	158, 100	154,886	47,364	218,707	45,027
						_
Medicare Supplement					0	0
3. Dental Only					n	n
3. Defital Offig						0
4. Vision Only					0	0
4. Vision only						
5. Federal Employees Health Benefits Plan					0	0
Title XVIII - Medicare	4,685,693	5,663,757	1, 111,650	4, 105, 524	5,797,343	5,546,958
	00 000 400	447 004 005	40,000,500	77 704 404	77 005 000	00 040 450
7 Title XIX - Medicaid	60,966,400	117,694,285	16,899,530	77,721,461	77 , 865 , 930	88,342,150
8. Other health					0	٥
o. Otter health						
9. Health subtotal (Lines 1 to 8)	65,715,914	123,516,142	18, 166, 066	81,874,349	83,881,980	93,934,135
	30,710,011					
10. Healthcare receivables (a)	4,204,549	6,907,080	0	527,355	4,204,549	9,405,557
		·				
11. Other non-health					0	0
12. Medical incentive peaks and house amounts	1 010 041	۸	250 247	1 205 007	1 660 100	1 202 570
12. Medical incentive pools and bonus amounts	1,312,841	0	350,347	1,305,097	1,663,188	1,383,572
13. Totals (Lines 9-10+11+12)	62,824,206	116,609,062	18,516,413	82,652,091	81,340,619	85,912,150
10. 10tata (Lines 3-10+11+12)	02,024,200	110,000,002	10,510,413	02,002,031	01,040,018	05,312,150

#### **NOTES TO FINANCIAL STATEMENTS**

#### Note 1 - Summary of Significant Accounting Policies

**Accounting Practices** 

The financial statements of UnitedHealthcare Community Plan (the Company) are presented on the basis of accounting practices prescribed or permitted by the Office of Financial and Insurance Regulation of the State of Michigan (the OFIR).

The OFIR recognizes only statutory accounting practices, prescribed or permitted by the State of Michigan, for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under Michigan Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) in effect for the accounting periods covered in the financial statement.

1-8. No significant differences exist between the practices prescribed or permitted by the State of Michigan and those prescribed or permitted by the NAIC SAP which materially affect the statutory basis net income, capital and surplus, and total admitted assets, as illustrated in the table below (in thousands):

	Mai	2011	
Net income — Michigan basis	\$	1,370	\$ 2,543
Net income — NAIC SAP	\$	1,370	\$ 2,543
Capital and surplus — Michigan basis	\$	63,982	\$ 67,381
Capital and surplus — NAIC SAP	\$	63,982	\$ 67,381
Total admitted assets — Michigan basis	\$	192,233	\$ 174,316
Total admitted assets — NAIC SAP	\$	192,233	\$ 174,316

#### Note 2 - Accounting Changes and Corrections of Errors

During the audit of the 2011 financial statements, the Company discovered and recognized adjustments related to the recognition of Medicaid hospital supplemental payments. The adjustments were an overstatement of net premium income of approximately \$391,000, an understatement of hospital and medical expenses of approximately \$2,528,000 and understated general administrative expenses of approximately \$354,000 for the year ended December 31, 2011. In addition, the federal income taxes incurred were overstated by approximately \$1,124,000 and deferred tax assets were overstated by approximately \$1,008,000. The impact to 2011 net income as recorded in the Audited Financial Statement was (\$2,149,000) and total capital and surplus was (\$3,157,000). The cumulative effect of this prior year error was corrected by the Company in accordance with SSAP No. 3, *Accounting Changes and Corrections of Errors* and is reflected in the accompanying statutory basis statements of changes in capital and surplus.

#### Note 3 - Business Combinations and Goodwill

No significant change.

#### Note 4 - Discontinued Operations

No significant change.

#### Note 5 – Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

B. Debt restructuring

No significant change.

C. Reverse Mortgages

No significant change.

- D. Loan-Backed Securities
  - 1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from an external data source vendor.

- 2) The Company did not recognize other-than-temporary impairments on mortgage-type investments as of March 31, 2012.
- 3) The Company did not have mortgage-type investments with an other-than-temporary impairment to report by CUSIP as of March 31, 2012.
- 4) The table below represents impaired Mortgages, Collateralized Mortgage Obligations and Asset Backed Securities Structured Assets Only for which an other-than-temporary impairment has not been recognized in earnings as of March 31, 2012 (in thousands):

	Less Than 12 Months		12 Months or Greater					Total Unrealized					
			Gross Unrealiz	zed			Gro	ss Unrealized	l			Gross Unrealized	l
	Fair	Value	Losses		Fair \	√alue		Losses	Fair	· Value		Losses	
Fixed Income-Mortgage	\$	96	\$	_	\$	_	\$	_	\$	96	\$		. –

- 5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of March 31, 2012 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.
- E. Repurchase Agreements and/or Securities Lending Transactions

No significant change.

F. Real Estate

No significant change.

G. Investments in Low-Income Housing Tax Credits

No significant change.

#### Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

#### Note 7 - Investment Income

No significant change.

#### Note 8 - Derivative Instruments

No significant change

#### Note 9 - Income Taxes

Statement of Statutory Accounting Principles (SSAP) No. 101, *Income Taxes – A Replacement of SSAP No. 10R and SSAP No. 10*, is effective for 2012 interim and annual financial statements and beyond. The new standard includes revised guidance for tax contingencies, non-elective deferred tax asset admissibility test along with significant modifications to the deferred tax assets admissibility test, and disclosure modifications. A change resulting from this adoption should be accounted for prospectively and reflected as a change in accounting principle in accordance with SSAP No. 3—*Accounting Changes and Corrections of Errors*. The adoption of this pronouncement does not have an impact on the financial statements.

#### Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

No significant change.

#### Note 11 - Debt

No significant change.

# Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

#### Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

#### Note 14 - Contingencies

No significant change.

#### Note 15 - Leases

No significant change.

# Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

#### Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. The Company did not have any transfers of receivables reported as sales as of March 31, 2012 or December 31, 2011.
- B. The Company did not have any transfer and servicing of financial assets as of March 31, 2012 or December 31, 2011.
- C. No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of March 31, 2012 or the year ended December 31, 2011.

# Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Included as a liability (receivable) for amounts held under uninsured plans is approximately \$1,164,000 and (\$1,192,000) as of March 31, 2012 and December 31, 2011, respectively, related to Medicare Part D program for the catastrophic reinsurance, low-income member cost-sharing subsidies and coverage gap discount program.

#### Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

#### Note 20 - Fair Value Measurement

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets
- Quoted prices for identical or similar assets in inactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.)
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.)
- Inputs that are derived principally from or corroborated by other observable market data

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments (investments) are based on quoted market prices, where available. The Company obtains one price for each security primarily from a thirdparty pricing service (pricing service), which generally uses quoted or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. Based on the Company's internal price verification procedures

and review of fair value methodology documentation provided by independent pricing services, the Company has not historically adjusted the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

The following table presents information about the Company's financial assets that are measured and reported at fair value at March 31, 2012, in the statutory basis statements of admitted assets, liabilities, and capital and surplus according to the valuation techniques the Company used to determine their fair values (in thousands):

	March 31, 2012  Quoted Prices Other in Active Observable Unobservable Markets Inputs Inputs (Level 1) (Level 2) (Level 3)				
Corporate bonds	\$ -	\$ 103	\$ -	\$ 103	
Total bonds	\$ -	\$ 103	\$ -	\$ 103	

There were no financial assets that were measured and reported at fair value at December 31, 2011, in the statutory basis statements of admitted assets, liabilities, and capital and surplus according to the valuation techniques the Company used to determine their fair values.

There were no transfers between Levels 1 and 2 as of March 31, 2012 and December 31, 2011.

The Company does not have any financial assets with a fair value hierarchy of level 3.

The aggregate fair value by hierarchy of all financial instruments as of March 31, 2012 and December 31, 2011 is presented in the table below (in thousands):

		Marcl	n 31, 2012	
	Total Fair Value	Total Admitted Assets	Quoted Prices in Active Markets (Level 1)	Other Observable Inputs (Level 2)
U.S. government and agency securities State and state agency City and county municipalities Corporate bonds Commercial paper and money-market funds Total	\$ 25,402 6,955 6,481 45,897 99,494 \$ 184,229	\$ 25,022 6,636 6,171 45,567 99,495 \$ 182,891	\$ 9,068 - - - - 96,761 \$ 105,829	\$ 16,334 6,955 6,481 45,897 2,733 \$ 78,400
			2011	
	Total Fair Value	Total Admitted Assets	Quoted Prices in Active Markets (Level 1)	Other Observable Inputs (Level 2)
U.S. government and agency securities State and state agency City and county municipalities Corporate bonds Commercial paper and money-market funds	\$ 25,214 5,757 6,320 51,135 45,477	\$ 25,161 5,677 6,121 50,758 45,477	\$ 12,149 - - - - 45,477	\$ 13,065 5,757 6,320 51,135
Total	\$ 133,903	\$ 133,194	\$ 57,626	\$ 76,277

There were no financial instruments that were not practicable to estimate at fair value.

Fair values of available-for-sale debt and equity securities are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service (pricing service), which generally uses quoted or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, benchmark yields, credit spreads, default rates, prepayment speeds and non-binding broker quotes. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source, prices reported by

its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services has not historically resulted in adjustment in the prices obtained from the pricing service.

#### Note 21 - Other Items

The Company elected to use rounding in reporting amounts in the notes to statutory basis statements.

#### Note 22 - Events Subsequent

No significant change.

#### Note 23 - Reinsurance

No significant change.

#### Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company has Medicare business which is subject to a retrospective rating feature related to Part D premiums. The Company has estimated accrued retrospective premiums related to Part D premiums based on guidelines determined by the Center for Medicare and Medicaid Services (CMS). The formula is tiered and based on medical loss ratio. The amount of Part D earned premiums subject to retrospective rating was approximately \$118,000 and \$3,024,000 representing 0.05% and 0.34% of total net premium income as of March 31, 2012 and December 31, 2011, respectively.

#### Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the accompanying statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivables at March 31, 2012 and December 31, 2011 (in thousands):

		March 31, 2012	
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve Paid claims, net of health care receivables	\$ -	\$ (95,318)	\$ (95,318)
and reinsurance recoveries collected	123,516	66,950	190,466
End of year claim reserve	83,179	18,516	101,695
Incurred claims excluding the change in health care receivables and reinsurance			
recoverables as presented below	206,695	(9,852)	196,843
Beginning of year health care receivables		0.405	0.405
and reinsurance recoverables	-	9,485	9,485
End of year health care receivables and reinsurance recoverables	(7,434)	(4,205)	(11,639)
Total incurred claims	\$ 199,261	\$ (4,572)	\$ 194,689

	2011		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve Paid claims, net of health care receivables	\$ -	\$ (79,128)	\$ (79,128)
and reinsurance recoveries collected	660,227	73,085	733,312
End of year claim reserve	93,113	2,205	95,318
Incurred claims excluding the change in health care receivables and reinsurance			
recoverables as presented below	753,340	(3,838)	749,502
Beginning of year health care receivables and reinsurance recoverables	-	2,617	2,617
End of year health care receivables and reinsurance recoverables	(7,071)	(2,335)	(9,406)
Total incurred claims	\$ 746,269	\$ (3,556)	\$ 742,713

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, health care receivables and reinsurance recoverables as of December 31, 2011 were approximately \$85,833,000. As of March 31, 2012, approximately \$66,950,000 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years are now approximately \$14,311,000 as a result of re-estimation of unpaid claims. Therefore, there has been approximately \$4,572,000 favorable prior-year development since December 31, 2011 to March 31, 2012. The primary drivers consist of favorable development as a result of ongoing analysis of loss development trends related to the release and reestablishment of approximately \$3,211,000 in known environmental claims and \$871,000 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. At December 31, 2011, the Company recorded approximately \$3,556,000 of favorable development related to insured events of prior years primarily as a result of ongoing analysis of loss development trends and changes to the provider settlement reserves. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this favorable development is the impact related to retrospectively rated policies. As a result of the prior-year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in unearned premium reserves and reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses (CAE) of approximately \$3,475,000 and \$15,796,000 for the three months ended March 31, 2012 and the year ended December 31, 2011, respectively. These costs are included in the management service fees paid by the Company to United Healthcare Services, Inc as a part of its management agreement. The following table discloses paid CAE and incurred CAE for the three months ended March 31, 2012 and the year ended December 31, 2011, and the balance in the unpaid claim adjustment expenses reserve as of March 31, 2012 and December 31, 2011 (in thousands):

	Marc	ch 31, 2012	2011
Total claims adjustment expenses incurred Less current year unpaid claims adjustment expenses Add prior year unpaid claims adjustment expenses	\$	3,475 (1,353) 1,271	\$ 15,793 (1,271) 935
Total claims adjustment expenses paid	\$	3,393	\$ 15,457

#### Note 26 - Intercompany Pooling Arrangements

No significant change.

#### Note 27 - Structured Settlements

No significant change.

#### Note 28 - Health Care Receivables

Pharmaceutical rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

The collection history of pharmacy rebates is summarized as (in thousands):

Quarter	Ph	atimated armacy debates	Re In	narmacy bates as voiced/ onfirmed	Co Wi D Inv	ebates llected thin 90 ays of roicing/ firmation	Co Wi to 18 Inv	ebates llected thin 91 0 Days of coicing/ firmation	Co Mo 181 Inv	ebates illected ire than Days of oicing/ firmation
March 31, 2012	\$	1,356	\$	-	\$	-	\$	-	\$	-
December 31, 2011		1,285		1,233		397		-		-
September 30, 2011		809		1,280		536		606		-
June 30, 2011		761		1,118		517		569		11
March 31, 2011		532		897		404		162		294
December 31, 2010		-		1		-		-		1

Of the amount reported as admitted health care and other receivables approximately \$1,749,000 and \$2,009,000 relates to pharmaceutical rebate receivables as of March 31, 2012 and December 31, 2011, respectively. Admitted health care and other receivables also includes maternity case receivables due from the Department of Community Health, moved from uncollected premiums per the State of Michigan. This amount totaled approximately \$3,539,000 and \$2,972,000 as of March 31, 2012 and December 31, 2011, respectively. In 2011, admitted health care and other receivables also has an amount for claim overpayments of approximately \$485,000.

#### Note 29 - Participating Policies

No significant change.

#### Note 30 - Premium Deficiency Reserves

No significant change.

#### Note 31 - Anticipated Salvage and Subrogation

No significant change.

# **GENERAL INTERROGATORIES**

### PART 1 - COMMON INTERROGATORIES

#### **GENERAL**

1.1	Did the reporting entity experience any material transactions requiring Domicile, as required by the Model Act?							Yes [	] No [ X ]
1.2	If yes, has the report been filed with the domiciliary state?							Yes [	] No [ ]
2.1	Has any change been made during the year of this statement in the c reporting entity?	harter, by-laws,	articles of incorporation,	or deed of	settleme	nt of the		Yes [ X ]	] No [ ]
2.2	If yes, date of change:						<u>-</u>	01/0	1/2012
3.	Have there been any substantial changes in the organizational chart self yes, complete the Schedule Y - Part 1 - organizational chart.	since the prior qu	uarter end?					Yes [ X ]	] No [ ]
4.1	Has the reporting entity been a party to a merger or consolidation du	ring the period c	overed by this statemen	t?				Yes [	] No [ X ]
4.2	If yes, provide the name of the entity, NAIC Company Code, and state ceased to exist as a result of the merger or consolidation.	e of domicile (us	e two letter state abbrev	riation) for a	ny entity	that has	;		
	1		2		3				
	Name of Entity		NAIC Company Code	State of	Domicile	)			
5.	If the reporting entity is subject to a management agreement, includin in-fact, or similar agreement, have there been any significant change if yes, attach an explanation.	es regarding the	terms of the agreement	or principal	s involve	ed?			
6.1	State as of what date the latest financial examination of the reporting	entity was made	e or is being made				<u>-</u>	12/3	1/2010
6.2	State the as of date that the latest financial examination report became date should be the date of the examined balance sheet and not the							12/3	1/2010
6.3	State as of what date the latest financial examination report became the reporting entity. This is the release date or completion date of the date).	e examination re	eport and not the date of	the examin	ation (ba	alance sl	neet	03/2	0/2012
6.4 6.5	By what department or departments?  The State of Michigan Office of Financial and Insurance Regulation  Have all financial statement adjustments within the latest financial exastatement filed with Departments?						Yes [	] No [	] N/A [ X ]
6.6	Have all of the recommendations within the latest financial examination	on report been c	omplied with?				Yes [	] No [	] N/A [ X ]
7.1	Has this reporting entity had any Certificates of Authority, licenses or revoked by any governmental entity during the reporting period?							Yes [	] No [ X ]
7.2	If yes, give full information:								
8.1	Is the company a subsidiary of a bank holding company regulated by	the Federal Res	erve Board?					Yes [	] No [ X ]
8.2	If response to 8.1 is yes, please identify the name of the bank holding	g company.							
8.3	Is the company affiliated with one or more banks, thrifts or securities to	firms?						Yes [ X ]	] No [ ]
8.4	If response to 8.3 is yes, please provide below the names and locatio regulatory services agency [i.e. the Federal Reserve Board (FRB), the Insurance Corporation (FDIC) and the Securities Exchange Commission (FDIC) and the Securities (FDIC) and the	he Office of the (	Comptroller of the Curre	ncy (OCC),	the Fed	eral Dep			
	1		2		3	4	5	6	
	Affiliate Name OptumHealth Bank, Inc.	Salt Laka Citu	ocation (City, State) , Utah		FRB N0	OCC N0	FDIC YES	SECN0	
	יייייייייייייייייייייייייייייייייייייי	Jail Lake Ully,	, Utan		INU	INU	IEJ	IVU	

# **GENERAL INTERROGATORIES**

9.1	Are the senior officers (principal executive officer, principal inflancial officer, principal accounting officer or controller, similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?	sonal and professional	Yes [ )	( ] No	[ ]
9.11	If the response to 9.1 is No, please explain:				
9.2 9.21	Has the code of ethics for senior managers been amended?		Yes [	] No	[ X ]
9.3 9.31	Have any provisions of the code of ethics been waived for any of the specified officers?		Yes [	] No	[ X ]
	FINANCIAL				
10.1 10.2	Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? If yes, indicate any amounts receivable from parent included in the Page 2 amount:				
	INVESTMENT				
11.1 11.2	Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or oth use by another person? (Exclude securities under securities lending agreements.)		Yes [	] No	[ X ]
12.	Amount of real estate and mortgages held in other invested assets in Schedule BA:	\$_			
13.	Amount of real estate and mortgages held in short-term investments:	\$			
14.1	Does the reporting entity have any investments in parent, subsidiaries and affiliates?		Yes [	] No	[ X ]
14.2	If yes, please complete the following:	4		•	
		1 Prior Year-End Book/Adjusted Carrying Value	В	2 urrent Q ook/Adj arrying	usted
14.21	Bonds	0			
14.22	Preferred Stock	50			
	Common Stock Short-Term Investments				
	Mortgage Loans on Real Estate				
14.26	All Other	F			
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	B0			0
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	§			
15.1 15.2	Has the reporting entity entered into any hedging transactions reported on Schedule DB?				

#### GENERAL INTERROGATORIES

Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. 16. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? .... For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

Yes	ΙΧ.	] No	[ ]

1	2
Name of Custodian(s)	Custodian Address
State Street Bank	50 S. LaSalle, Chicago, IL 60675

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? Yes [ ] No [ X ]

If yes, give full information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason
		_	

Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity: 16.5

1	2	3
Central Registration Depository	Name(s)	Address
107038	JPMorgan Investment Management, Inc.	245 Park Avenue, New York, NY 10167
106595	Wellington Management Company, LLP	280 Congress Street, Boston MA 02210
N/A	Internally Managed	N/A

Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? ... Yes [ X ] No [ ]

17.2 If no, list exceptions:

# **GENERAL INTERROGATORIES**

### PART 2 - HEALTH

#### 1. Operating Percentages:

	1.1 A&H loss percent				86.6 9
	1.2 A&H cost containment percent				0.9 9
	1.3 A&H expense percent excluding cost containment expenses				13.1 9
2.1	Do you act as a custodian for health savings accounts?		Yes [	] No [ X	]
2.2	If yes, please provide the amount of custodial funds held as of the reporting date	.\$			
2.3	Do you act as an administrator for health savings accounts?		Yes [	] No [ X	]
2.4	If yes, please provide the balance of the funds administered as of the reporting date	\$			

### **SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC	2	3	Showing All New Reinsurance Treaties	5	6 7 Type of Is Insurer
NAIC	_	J	7	<b>o</b>	Type of Is Insurer
INAIC					Type of is insurer
Company	Federal	Effective			Reinsurance Authorized?
Company Code	Federal ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Reinsurance Authorized? Ceded (Yes or No)
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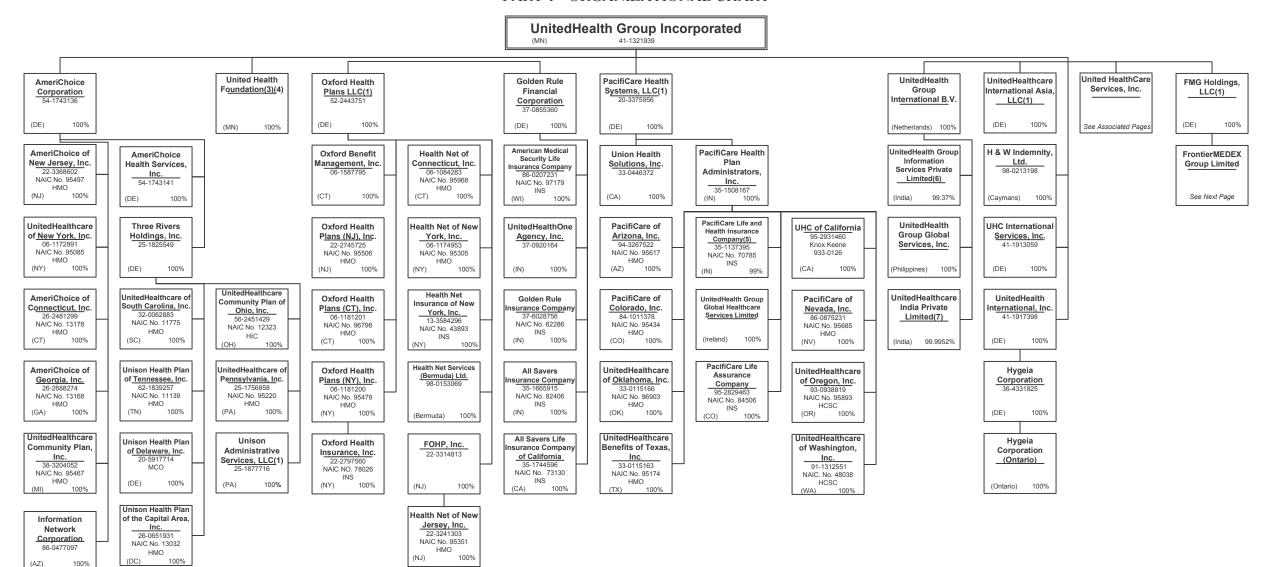
### SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

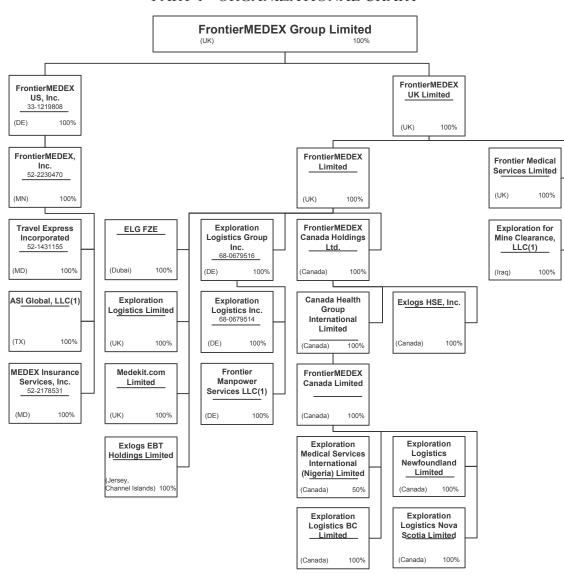
Current Year to Date - Allocated by States and Territories

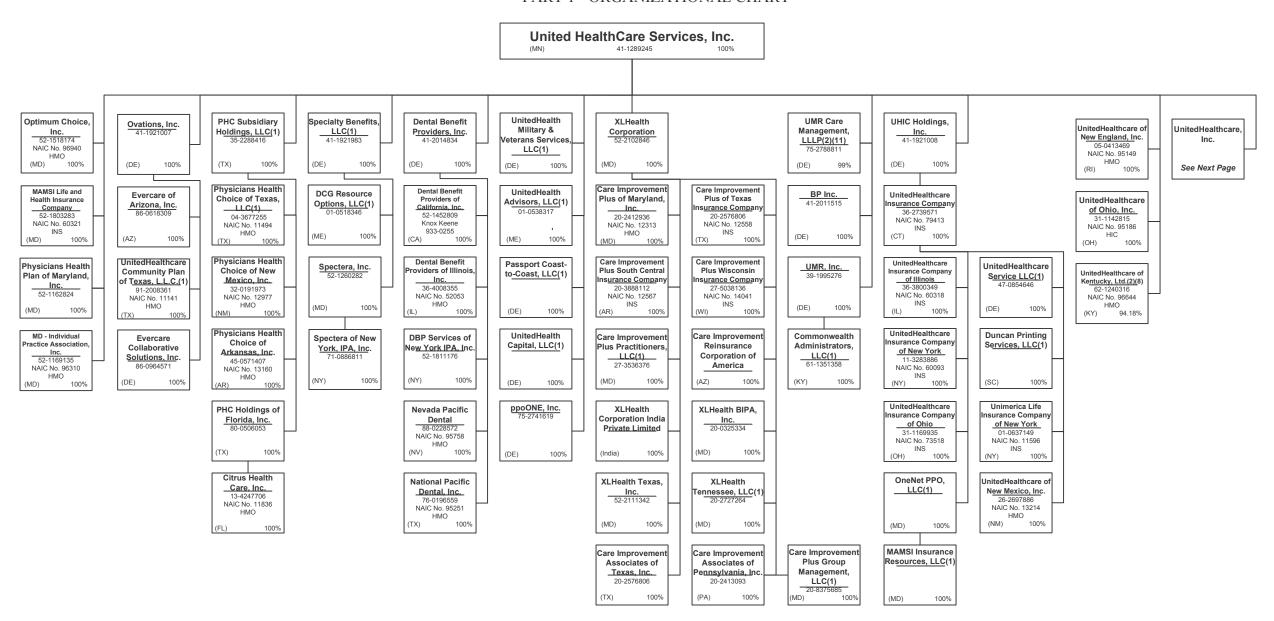
			4	Current Year to Date - Allocated by States and Territories  Direct Business Only											
			1	2	3	4	Direct Bus 5	siness Only 6	7	8	9				
					3	7	Federal		'	0	9				
							Employees	Life and							
							Health	Annuity							
			A . 12	Accident and			Benefits	Premiums &	Property/	Total					
	States, etc.		Active Status	Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Program Premiums	Other Considerations	Casualty Premiums	Columns 2 Through 7	Deposit-Type Contracts				
1.	Alabama	۸۱	N	1 Territario	THE AVIII	THE XIX	Tremiums	Considerations	Tremans	0	Contracts				
2.	Alaska		N							0					
3.	Arizona		N							0					
		. —		·						0	ł				
4.	Arkansas		N							0	····				
5.	California	· .	N	,						0					
6.	Colorado		N							0					
7.	Connecticut		N							0					
8.	Delaware		N							0					
9.	District of Columbia .	DC	N							0					
10.	Florida	FL	N	,						0					
11.	Georgia	GA	N							0					
12.	Hawaii	HI	N							0					
13.	Idaho	ID	N							0					
14.	Illinois	IL	N							0					
15.	Indiana		N							0					
16.	lowa		N							0					
17.	Kansas		N	[						0					
18.	Kentucky		N							0	Ī				
19.	Louisiana		N												
20.	Maine		NN.							0					
										10	<b>†</b>				
21.	Maryland		N	<u> </u>		L		<u> </u>		0	<b>†</b>				
22.	Massachusetts		N	000		040 407		<u> </u>		0	<u> </u>				
23.	Michigan		LL	232,037	11, 103, 243	216, 127,850		<b></b>		227,463,130	<b>}</b>				
24.	Minnesota		N							0	<b></b>				
25.	Mississippi		N							0					
26.	Missouri		N	ļ		ļ		ļ		0	ļ				
27.	Montana	MT	N							0					
28.	Nebraska		N							0					
29.	Nevada	NV	N							0					
30.	New Hampshire	NH	N							0					
31.	New Jersey	NJ	N							0					
32.	New Mexico		N							0					
33.	New York		N							0					
34.	North Carolina		N							0					
35.	North Dakota		N							0					
36.	Ohio		N							0					
37.	Oklahoma	•	N.							0					
38.	Oregon		N							0					
39.	Pennsylvania														
			N							0					
40.	Rhode Island		N							0					
41.	South Carolina		N							0					
42.	South Dakota		N	,						0					
43.	Tennessee		N							0					
44.	Texas		N							0					
45.	Utah		N							0	<b> </b>				
46.	Vermont		N	ļ				ļ		0	ļ				
47.	Virginia	VA	N	ļ				<b></b>		0	<b></b>				
48.	Washington		N							0	<b></b>				
49.	West Virginia		N							0					
50.	Wisconsin		N							0					
51.	Wyoming		N							0					
52.	American Samoa		N N							0					
53.	Guam		N					<u> </u>		0	<u> </u>				
53. 54.	Puerto Rico		NN.	<u> </u>		<b></b>	<del> </del>	<u> </u>			<u> </u>				
				·				·		0	<u> </u>				
55.	U.S. Virgin Islands	VI	N							0	<b> </b>				
56.	Northern Mariana Islands	MP	N							n					
57.	Canada		N							0					
58.	Aggregate Other	ON								0	<u> </u>				
JO.	Aliens	ОТ	XXX	0	0	0	0	0	0	0	0				
59.	Subtotal		XXX	232,037	11, 103, 243	216, 127, 850	0	0	0	227,463,130	0				
60.	Reporting Entity				,,					, .55, 100					
	Contributions for En														
	Benefit Plans		XXX							0					
61.			(a) 1	232,037	11, 103, 243	216, 127, 850	0	0	0	227,463,130	0				
	DETAILS OF WRITE	-INS													
5801.			XXX	ļ				<b> </b>		<b></b>	<b>}</b>				
5802.			XXX					ļ			ļ				
5803.			XXX												
5898.															
	write-ins for Line 58 f		2007		^	_	0	_	^	0	_				
5900	overflow page		XXX	0	0	0	ļ0	0	0	ļ	0				
5899.	Totals (Lines 5801 th 5803 plus 5898)(Line														
	above)		XXX	0	0	0	0	0	0	0	0				
l\Licen	sed or Chartered - Licer	sed Ins		or Domiciled RRC		- Non-domiciled			Accredited Rein	surer: (E) Eligible					

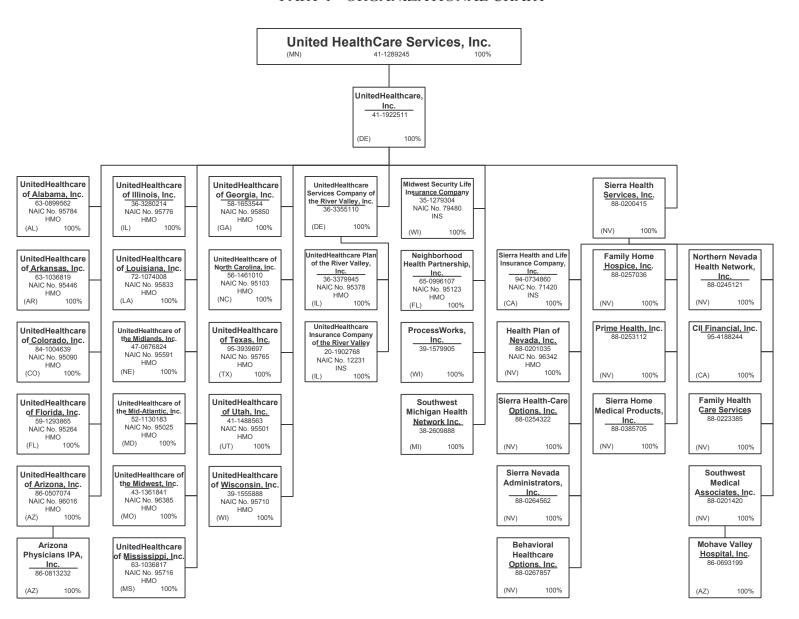
<sup>(</sup>L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

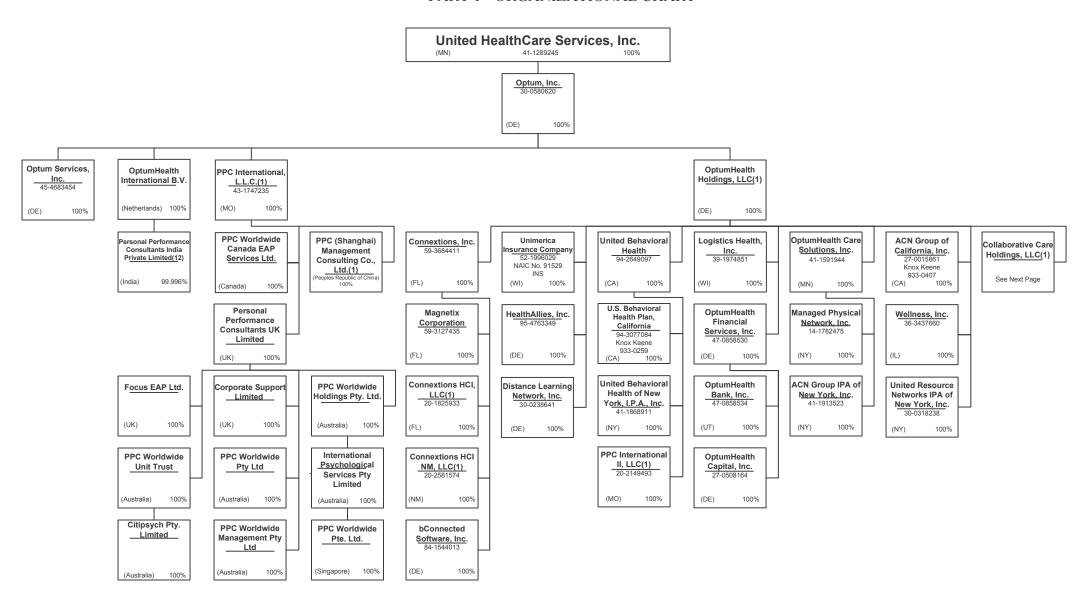
<sup>(</sup>a) Insert the number of L responses except for Canada and Other Alien. Premiums allocated by state based upon Geographic Market.

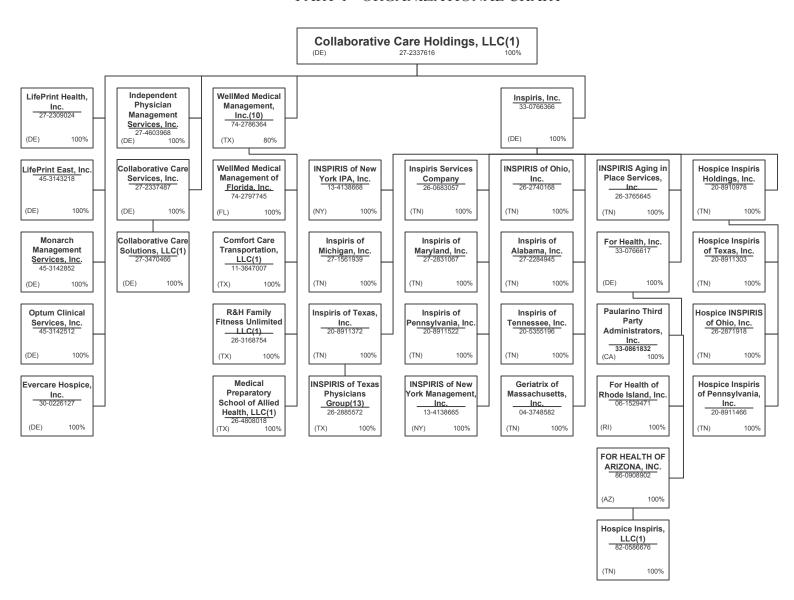


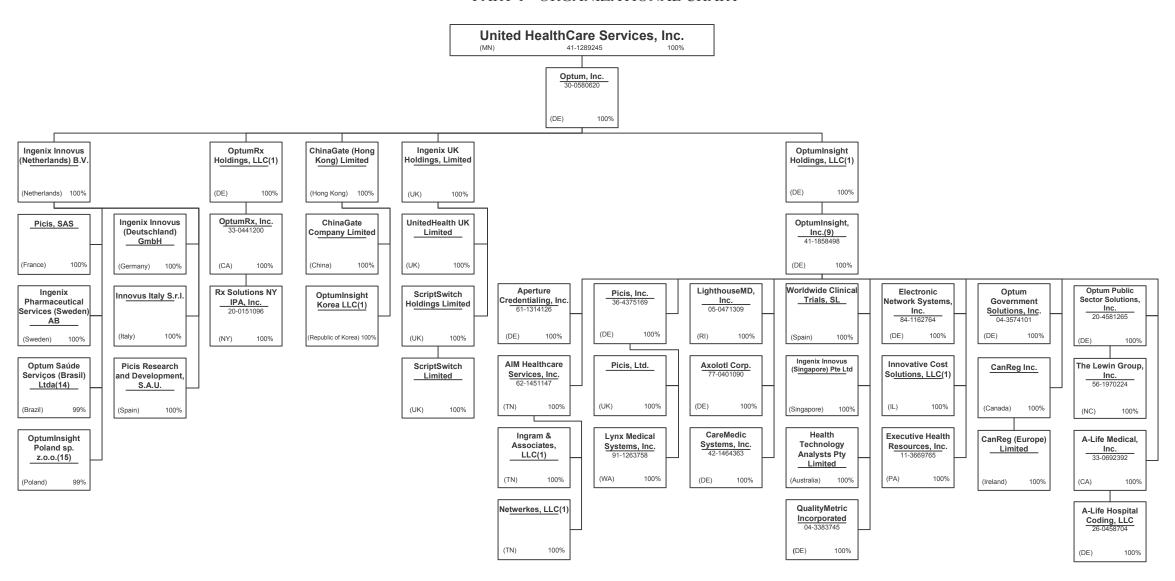












#### PART 1 - ORGANIZATIONAL CHART

#### **Notes**

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) PacifiCare Life and Health Insurance Company is 99% owned by PacifiCare Health Plan Administrators, Inc. and 1% owned by PacifiCare Health Systems, LLC
- (6) UnitedHealth Group Information Services Private Limited is 99.37% owned by UnitedHealth Group International B.V.. The remaining 0.63% is owned by UnitedHealth International, Inc.
- (7) United Healthcare India Private Limited is 99.9952% owned by UnitedHealth Group International B.V. and 0.0048% owned by UnitedHealth International, Inc.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (9) Established a branch, Ingenix, Inc. Abu Dhabi, located in Abut Dhabi, UAE.
- (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
- (11) Limited partnership interest is held by United HealthCare Services, Inc. (99%). General partnership interest is held by UMR, Inc. (1%)
- (12) Personal Performance Consultants India Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
- (13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris of Texas, Inc.
- (14) Optum Saúde Serviços (Brasil) Ltda. Is 99% owned by Ingenix Innovus (Netherlands) B.V. The remaining 1% is owned by OptumInsight, Inc.
- (15) OptumInsight Poland sp. z.o.o. is 99% owned by Ingenix Innovus (Netherlands) B.V. The remaining 1% is owned by OptumInsight, Inc.

	PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM													
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
											Type	lf		
											of Control	Control		
											(Ownership,	is		
											Board,	Owner-		
									Relationship		Management,	ship		
		NAIC				Name of Securities Exchange	Names of	Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
		00000	41-1913523				ACN Group IPA of New York, Inc	NY	NIA	OptumHealth Care Solutions, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0015861				ACN Group of California, Inc	CA	JA	OptumHealth Care Solutions, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1451147				AIM Healthcare Services, Inc	TN	NIA	OptumInsight, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0458704				A-Life Hospital Coding, LLC	DE	NIA	A-Life Medical, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0692392				A-Life Medical, Inc.	CA	NIA	OptumInsight, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	82406	35-1665915				All Savers Insurance Company			Golden Rule Financial Corporation			UnitedHealth Group Incorporated	
								IN	JA		Ownership	100.000	-	
0707	UnitedHealth Group Incorporated	73130	35-1744596				All Savers Life Insurance Company of			Golden Rule Financial Corporation			UnitedHealth Group Incorporated	
							California	CA	JA		Ownership	100.000	-	
0707	UnitedHealth Group Incorporated	97179	86-0207231				American Medical Security Life			Golden Rule Financial Corporation			UnitedHealth Group Incorporated	
							Insurance Company	WI	A		Ownership	100.000	-	
		00000	54-1743136				AmeriChoice Corporation	DE	UDP	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	54-1743141				AmeriChoice Health Services, Inc	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13178	. 26-2481299				AmeriChoice of Connecticut, Inc	CT	JA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
9707	UnitedHealth Group Incorporated	13168	26-2688274				AmeriChoice of Georgia, Inc	GA	JA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95497	22-3368602				AmeriChoice of New Jersey, Inc	NJ	JA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	61-1314126				Aperture Credentialing, Inc	DE	NIA	OptumInsight, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 86-0813232				Arizona Physicians IPA, Inc			UnitedHealthcare of Arizona, Inc.			UnitedHealth Group Incorporated	
								AZ	J A		Ownership	100.000	-	
		00000					ASI Global, LLC	TX	NIA	FrontierMEDEX, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 77-0401090				Axoloti Corp.	DE	NIA	OptumInsight, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	84-1544013				bConnected Software, Inc.	DE	NIA	Connextions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 88-0267857				Behavioral Healthcare Options, Inc	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41–2011515				BP Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Canada Health Group International	04	NIA	FrontierMEDEX Canada Holdings Ltd.		100 000	UnitedHealth Group Incorporated	
		00000					Limited	CA		0 . D I	Ownership	100.000		
		00000					CanReg (Europe) Limited	IE CA	NIA	CanReg Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	00.0440000				CanReg Inc.	CA	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2413093				Care Improvement Associates of Pennsylvania, Inc.	PΔ	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2576806				Care Improvement Associates of Texas,	PA	NIA	XLHealth Corporation	Owner Snip	100.000	UnitedHealth Group Incorporated	
		00000	20-23/0000				Inc.	TX	NIA	ALHearth Corporation	Ownership	100.000	onitedhearth droup incorporated	
		00000	20-8375685				Care Improvement Plus Group	I A	NIA	XLHealth Corporation	Owner Strip		UnitedHealth Group Incorporated	
		00000	20 00/3000				Management, LLC	MD	NIA	ALITERATURE COMPONENTIAL	Ownership	100.000	on rediearth droup meorporated	
0707	UnitedHealth Group Incorporated	12313	20-2412936				Care Improvement Plus of Maryland,	UIU		XLHealth Corporation	οπιοι σιτιμ		UnitedHealth Group Incorporated	
0101	John teuricar in Group meorporated	12010	20 2412000				Inc.	MD	IA	ALICATES COSPOSALION	Ownership	100.000	on teancarti aroup meorporatea	
0707	UnitedHealth Group Incorporated	12558	20-2576806				Care Improvement Plus of Texas			XLHealth Corporation	Owner strip		UnitedHealth Group Incorporated	
	on touriour in aroup moorporatou	12000	20 20/0000				Insurance Company	TX	IA	ALIGATES COSPOSACION	Ownership	_100.000	on touriour in droup moorporatou	
		00000	27-3536376				Care Improvement Plus Practitioners,			XLHealth Corporation	Owner our p		UnitedHealth Group Incorporated	
			27 0000070				LLC	MD	NIA	ALTOUT IT OUT POT A CT OIT	Ownership	100.000	on touriour in droup moorporatou	
0707	UnitedHealth Group Incorporated	12567	20-3888112				Care Improvement Plus South Central			XLHealth Corporation	V		UnitedHealth Group Incorporated	
	direction of our moorporated		20 0000112				Insurance Company	AR	IA	The source of portation	Ownership	100.000	on tourself in or out	
0707	UnitedHealth Group Incorporated	14041	27-5038136				Care Improvement Plus Wisconsin			XLHealth Corporation	1		UnitedHealth Group Incorporated	
							Insurance Company		J A	]	Ownership	100.000		
		00000	26-1358634				Care Improvement Reinsurance			XLHealth Corporation	,		UnitedHealth Group Incorporated	
							Corporation of America	AZ	NIA	]	Ownership	100.000		
		00000	42-1464363				CareMedic Systems, Inc.	DE	NIA	OptumInsight, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					ChinaGate (Hong Kong) Limited	HK	NIA	Optum, Inc.	Ownership.	100.000	UnitedHealth Group Incorporated	
		00000					ChinaGate Company Limited	CN	NIA	ChinaGate (Hong Kong) Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	95-4188244				CII Financial, Inc	CA	NIA	Sierra Health Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000			l		Citipsych Pty. Limited	AU	NIA	PPC Worldwide Unit Trust	Ownership	100.000	UnitedHealth Group Incorporated	

	PART IA - DETAIL OF INSURANCE HOLDING COMPANT STSTEM													
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
•	_						-				Type	If		'
											of Control	Control		
											(Ownership,	is		
											Board,	Owner-		
									Relationship		Management.	ship		
_		NAIC				Name of Securities Exchange	Names of	Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
0707	UnitedHealth Group Incorporated	11836	. 13-4247706				Citrus Health Care, Inc	FL	JA	PHC Holdings of Florida, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2337616				Collaborative Care Holdings, LLC	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2337487				Collaborative Care Services, Inc.	DE	NIA	. Collaborative Care Holdings, LLC .	Ownership.	100.000	UnitedHealth Group Incorporated	
		00000	27-3470466				Collaborative Care Solutions, LLC			Collaborative Care Services, Inc.			UnitedHealth Group Incorporated	
			1				, ==	DE	NIA		Ownership.	100.000		
		00000	11-3647007				Comfort Care Transportation, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	61-1351358				Commonwealth Administrators, LLC	KY	NIA	UMR. Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2581574				Connextions HCI NM, LLC	NM	NIA	Connextions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 20-1825933				Connextions HCI, LLC	FL	NIA	Connextions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 59-3684411				Connextions, Inc.	FL	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	-				Corporate Support Limited			Personal Performance Consultants			UnitedHealth Group Incorporated	· [
								UK	NI A	UK Limited	Ownership	100.000	-	
		00000	52-1811176				DBP Services of New York IPA, Inc	NY	NIA	Dental Benefit Providers, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0518346				DCG Resource Options, LLC	ME	NI A	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1452809				Dental Benefit Providers of			Dental Benefit Providers, Inc.			UnitedHealth Group Incorporated	_
							California, Inc.	CA	JA		Ownership	100.000		
0707	UnitedHealth Group Incorporated	52053	36-4008355				Dental Benefit Providers of Illinois,			Dental Benefit Providers, Inc.			UnitedHealth Group Incorporated	
	on touriour in aroup morporatou	02000					Inc.		IA	Bontar Bonorit Frontacio, moi	Ownership	100.000	and the state of t	
		00000	41-2014834				Dental Benefit Providers, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0238641				Distance Learning Network, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Duncan Printing Services, LLC	ИЕ	NI A	UnitedHealthcare Insurance	Owner Strip	100.000	UnitedHealth Group Incorporated	
		00000					Duncan Printing Services, LLC	SC	ALL A		Ownership	100.000	UnitedHearth Group Incorporated	
							l		NIA	Company				
		00000	84-1162764				Electronic Network Systems, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	-				ELG FZE	AE	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 86-0964571				Evercare Collaborative Solutions,			Ovations, Inc.			UnitedHealth Group Incorporated	
							Inc	DE	NIA		Ownership	100.000	-	
		00000	30-0226127				Evercare Hospice, Inc	DE	NI A	_ Collaborative Care Holdings, LLC .	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	86-0618309				Evercare of Arizona, Inc	AZ	A	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3669765				Executive Health Resources, Inc	PA	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Exlogs EBT Holdings Limited	JE	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Exlogs HSE, Inc. (Canada)			FrontierMEDEX Canada Holdings Ltd.			UnitedHealth Group Incorporated	
								CA	NIA		Ownership.	100.000		
		00000					Exploration for Mine Clearance, LLC	IQ	NIA	FrontierMEDEX UK Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Exploration Logistics BC Limited	CA	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	68-0679516				Exploration Logistics Group Inc.	DE	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
								DE						
		00000	. 68-0679514				Exploration Logistics Inc.		NIA	Exploration Logistics Group Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Exploration Logistics Limited	UK	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	-				Exploration Logistics Newfoundland	٠.		FrontierMEDEX Canada Limited			UnitedHealth Group Incorporated	
							Limited	CA	NI A		Ownership	100.000	-	
		00000					Exploration Logistics Nova Scotia			FrontierMEDEX Canada Limited			UnitedHealth Group Incorporated	
							Limited	CA	NI A		Ownership	100.000	-	
		00000					Exploration Medical Services			FrontierMEDEX Canada Limited			UnitedHealth Group Incorporated	.
							International (Nigeria) Limited	CA	NI A		Ownership	50.000	]	1
		00000	88-0223385				Family Health Care Services	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0257036				Family Home Hospice, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	1				FMG Holdings, LLC	DE	NIA.	UnitedHealth Group Incorporated	Ownership.	100.000	UnitedHealth Group Incorporated	
		00000					Focus EAP Ltd.			Personal Performance Consultants	оот оптр		UnitedHealth Group Incorporated	
							TOUGO EN ETU.	UK	NIA	UK Limited	Ownership	100.000	om touriour in droup moorporated	
		00000	22-3314813				FOHP. Inc.	NJ	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 86-0908902				FOR HEALTH OF ARIZONA. INC.	AZ				100.000		
									NIA	For Health, Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	. 06-1529471				For Health of Rhode Island, Inc	RI	NIA	For Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

	PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM													
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
											Type	lf		
											of Control	Control		
											(Ownership,	is		
											Board,	Owner-		
									Relationship		Management,	ship		
		NAIC				Name of Securities Exchange	Names of	Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
		00000	. 33-0766617				For Health, Inc.	DE	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Frontier Manpower Services LLC	DE	NIA	Exploration Logistics Group Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Frontier Medical Services Limited	UK	NIA	FrontierMEDEX UK Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					FrontierMEDEX Canada Holdings Ltd	CA	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					FrontierMEDEX Canada Limited			Canada Health Group International			UnitedHealth Group Incorporated	
								CA	NIA	Limited	Ownership	100.000		
		00000					FrontierMEDEX Group Limited	UK	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					FrontierMEDEX Limited	UK	NIA	FrontierMEDEX UK Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					FrontierMEDEX UK Limited	UK	NIA	FrontierMEDEX Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 33-1219808				FrontierMEDEX US, Inc.	DE	NIA	FrontierMEDEX Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2230470				FrontierMEDEX, Inc.	MN	NIA	FrontierMEDEX US, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	04-3748582				Geriatrix of Massachusetts, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	.
0707		00000	. 37-0855360 37-6028756	3057283			Golden Rule Financial Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0/0/	UnitedHealth Group Incorporated	62286	37-6028756	305/283			Golden Rule Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-0213198				H & W Indemnity, Ltd	KY		UnitedHealth Group Incorporated	Ownership	100.000	 UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	43893	13-3584296				Health Net Insurance of New York,	N1	NIA	Oxford Health Plans LLC	ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHearth Group Incorporated	43093	. 13-3364296				Inc	NY	IA	OXTORG Health Plans LLC	Ownership	100.000	UnitedHearth Group Incorporated	
0707	UnitedHealth Group Incorporated	95968	06-1084283				Health Net of Connecticut, Inc.	NY	IA		Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95351	22-3241303				Health Net of New Jersey, Inc.		IA	FOHP. Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95305	06-1174953				Health Net of New York, Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
1010	Jointednearth Group Theorporated	00000	98-0153069				Health Net Services (Bermuda) Ltd	BM	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96342	88-0201035				Health Plan of Nevada, Inc.	NV	JA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
1010	Som teancartin aroup moorporated	00000	00 020 1000				Health Technology Analysts Pty			OptumInsight, Inc.	Owner Strip	100.000	UnitedHealth Group Incorporated	
							Limited	AU	NIA	optummorgitt, mo.	Ownership	100.000	on tourout in droup moorporatou	
		00000	95-4763349				HealthAllies, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	20-8910978				Hospice Inspiris Holdings, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2871918				Hospice INSPIRIS of Ohio, Inc.	TN	NIA		Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911466				Hospice Inspiris of Pennsylvania,			Hospice Inspiris Holdings, Inc.			UnitedHealth Group Incorporated	
							Inc.	TN	NI A		Ownership	100.000		
		00000	20-8911303				Hospice Inspiris of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	82-0586676				Hospice Inspiris, LLC	TN	NIA	FOR HEALTH OF ARIZONA, INC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4331825				Hygeia Corporation	DE	NIA	_UnitedHealth International, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Hygeia Corporation (Ontario)	CA	NIA	Hygeia Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-4603968				Independent Physician Management			Collaborative Care Holdings, LLC .			UnitedHealth Group Incorporated	
							Services, Inc.	DE	NIA	4	Ownership	100.000		
		00000	. 86-0477097				Information Network Corporation	AZ	NIA	.AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Ingenix Innovus (Deutschland) GmbH			Ingenix Innovus (Netherlands) B.V.		400	UnitedHealth Group Incorporated	
		00000						DE	NIA		Ownership	100.000		
		00000	-				Ingenix Innovus (Netherlands) B.V	NL	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	-				Ingenix Innovus (Singapore) Pte Ltd	SG	NI A	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Ingenix Pharmaceutical Services	SE	NI A	Ingenix Innovus (Netherlands) B.V.	O	100 000	UnitedHealth Group Incorporated	
		00000					(Sweden) AB	SE	NIA NIA	Optum. Inc.	Ownership	100.000	Illinitadilaalth Craun Incornar-t-d	
		00000	62-1641102				Ingram & Associates, LLC	TN		.AIM Healthcare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	02-1041102				Innovative Cost Solutions, LLC	IN		. OptumInsight, Inc	Ownership	100.000	UnitedHealth Group Incorporated UnitedHealth Group Incorporated	- [
		00000					Innovative cost solutions, LLC	IL	NIA	Ingenix Innovus (Netherlands) B.V.	Owner Strip	100.000	UnitedHealth Group Incorporated	
							Illiovus Italy S.I.I.	IT	NIA	Ingenta fillovus (Netherralius) b.v.	Ownership	100.000	on teanearth droup moorporated	
		00000	26-3765645				INSPIRIS Aging in Place Services,		NIA	Inspiris, Inc.	Omitet 2111h	100.000	UnitedHealth Group Incorporated	
							Inc	TN	NIA	1110011110, 1110.	Ownership	100.000	om tourieur in droup moorporated	
		00000	27-2284945				Inspiris of Alabama, Inc.	TN	NIA	Inspiris, Inc.	Ownership		UnitedHealth Group Incorporated	
							I mopri to of Alabama, mo		-  IVI /\	- I IIIOPII IO, IIIO	VIII OI I I P			.

	PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM													
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											Board,	Owner-		
									Relationship		Management,	ship		
		NAIC				Name of Securities Exchange	Names of	Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
		00000	27-2831067				Inspiris of Maryland, Inc	TN	NI A	Inspiris, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-1561939				Inspiris of Michigan, Inc	TN	NI A	Inspiris, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 13-4138668				INSPIRIS of New York IPA, Inc	NY	NIA	Inspiris, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 13-4138665				INSPIRIS of New York Management, Inc.			Inspiris, Inc			UnitedHealth Group Incorporated	
							Works and a second seco	NY	NIA		Ownership	100.000		
		00000	26-2740168				INSPIRIS of Ohio, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 20-8911466				Inspiris of Pennsylvania, Inc.	TN	NI A	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5355196 26-2885572				Inspiris of Tennessee, Inc.	TN TX	NI A	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911372				INSPIRIS of Texas Physician Group Inspiris of Texas, Inc	TN	NIA	Inspiris of Texas, Inc Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated UnitedHealth Group Incorporated	
		00000	26-0683057				Inspiris Services Company	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0766366				Inspiris dervices company	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 00 0700000				International Psychological Services		NI /\	PPC Worldwide Holdings Pty. Ltd.	. Owner strip		UnitedHealth Group Incorporated	
		00000					Ptv Limited	AU	NIA	The worldwide hordings hely. Etc.	Ownership	100.000	on teareatti areap meerperatea	
		00000	45-3143218				Lifeprint East, Inc.	DE		Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2309024				Lifeprint Health, Inc.	DE		Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	05-0471309				LighthouseMD, Inc.	RI		OptumInsight, Inc.	Ownership.	_100.000	UnitedHealth Group Incorporated	
		00000	39-1974851				Logistics Health, Inc.			OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	91-1263758				Lynx Medical Systems, Inc.	WA		Picis, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	59-3127435				Magnetix Corporation	FL	NI A	Connextions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					MAMSI Insurance Resources, LLC	MD	NIA	OneNet PPO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60321	52-1803283				MAMSI Life and Health Insurance			United HealthCare Services, Inc.			UnitedHealth Group Incorporated	
							Company	MD	J A		Ownership	100.000	•	
		00000	14-1782475				Managed Physical Network, Inc	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96310	. 52-1169135				MD-Individual Practice Association,			United HealthCare Services, Inc.			UnitedHealth Group Incorporated	
							Inc.	MD	JA	<u> </u>	Ownership	100.000		
		00000					Medekit.com Limited	UK	NI A	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 52-2178531				MEDEX Insurance Services, Inc.	MD	NI A	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 26-4808018				Medical Preparatory School of Allied Health, LLC	TX	NIA	WellMed Medical Management, Inc.	O	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	79480	35-1279304				Midwest Security Life Insurance	I X	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0101	on teurearth droup incorporated	/ 3460	. 33-12/9304				Company	WI	I A	offited lear thoare, inc.	Ownership	100.000	officeurearth group incorporated	
		00000	86-0693199				Mohave Valley Hospital, Inc.			Southwest Medical Associates, Inc			UnitedHealth Group Incorporated	
			. 00 0000100				monave variey nospitar, me.	A7	NIA	douthwest medical Associates, inc	Ownership	100.000	on tearest in aroup morporates	
		00000	45-3142852				Monarch Management Services, Inc	DE		Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95251	76-0196559				National Pacific Dental, Inc.	TX	JA	Dental Benefit Providers, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
	UnitedHealth Group Incorporated	95123	65-0996107				Neighborhood Health Partnership, Inc.			UnitedHealthcare, Inc.			UnitedHealth Group Incorporated	
								FL	JA	,	Ownership	100.000		
		00000	20-4755277				Netwerkes, LLC	TN	NI A	AIM Healthcare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95758	88-0228572				Nevada Pacific Dental	NV	JA	Dental Benefit Providers, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 88-0245121				Northern Nevada Health Network, Inc	NV	NI A	Sierra Health Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OneNet PPO, LLC			UnitedHealthcare Insurance			UnitedHealth Group Incorporated	
					1			MD	NI A	Company	Ownership	100.000		
0707	UnitedHealth Group Incorporated	96940	52-1518174				Optimum Choice, Inc.	MD	JA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-3142512				Optum Clinical Services, Inc.	DE		Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 04–3574101				Optum Government Solutions, Inc.	DE		OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
·····		00000	00 4504005				Optum Insight Korea LLC	Re		Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4581265				Optum Public Sector Solutions, Inc	DE	NI A	OptumInsight, IncIngenix Innovus (Netherlands) B.V	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Optum Sa de Servi os (Brasil) Ltda	BR	NIA	ingenix innovus (Netherlands) B.V	Ownership	99.000	UnitedHealth Group Incorporated	
		00000			ĺ		Optum Sa de Servi os (Brasil) Ltda	BR		OptumInsight, Inc	Ownership	1.000	UnitedHealth Group Incorporated	
		UUUUU					Optum sa de servi os (Brasii) Lida	DH	N I A	J∪ptuminsignt, inc	.   Uwnersnip	1.000	juniteumeatin Group incorporated	

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											Board,	Owner-		
									Relationship		Management,	ship		
		NAIC				Name of Securities Exchange	Names of	Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
	'	00000	45-4683454		T		Optum Services, Inc.	DE	NIA	Optum. Inc.	Ownership.	100.000	UnitedHealth Group Incorporated	1
		00000	30-0580620	3119994			Optum. Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0858534	3202702			OptumHealth Bank, Inc.			OptumHealth Financial Services.	,		UnitedHealth Group Incorporated	
								UT	NIA	Inc.	Ownership	100.000		
		00000	27-0508164				OptumHealth Capital, Inc.			OptumHealth Financial Services,			UnitedHealth Group Incorporated	
							,	DE	NI A	Inc.	Ownership	_100.000		
		00000	41-1591944				OptumHealth Care Solutions, Inc	MN	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0858530				OptumHealth Financial Services, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumHealth Holdings, LLC	DE	NIA	Optum. Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumHealth International B.V.	NL	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	.
		00000					OptumInsight Poland sp. z.o.o.			Ingenix Innovus (Netherlands) B.V.			UnitedHealth Group Incorporated	.
								Po	NIA		Ownership	99.000		
		00000					OptumInsight Poland sp. z.o.o.	Po	NIA	OptumInsight, Inc	Ownership	1.000	UnitedHealth Group Incorporated	
		00000	41-1858498				OptumInsight, Inc.	DE	NIA	OptumInsight Holdings, LLC	Ownership	_100.000	UnitedHealth Group Incorporated	
		00000					OptumRx Holdings, LLC	DE	NIA	Optum, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0441200				OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921007				Ovations, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	06-1587795				Oxford Benefit Management, Inc	CT	NIA	Oxford Health Plans LLC	Ownership.	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	78026	22-2797560				Oxford Health Insurance, Inc	NY	JA	Oxford Health Plans (NY), Inc	Ownership	100.000	UnitedHealth Group Incorporated	.
0707	UnitedHealth Group Incorporated	96798	06-1181201				Oxford Health Plans (CT), Inc.	CT	J A.	Oxford Health Plans LLC	Ownership.	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	JA	Oxford Health Plans LLC	Ownership	_100.000	UnitedHealth Group Incorporated	.
0707	UnitedHealth Group Incorporated	95479	06-1181200				Oxford Health Plans (NY), Inc.	NY	JA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	.
		00000	52-2443751				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-1508167				PacifiCare Health Plan			PacifiCare Health Systems, LLC			UnitedHealth Group Incorporated	
							Administrators, Inc.	IN	NIA		Ownership	100.000		
		00000	20-3375956				PacifiCare Health Systems, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	70785	35-1137395				PacifiCare Life and Health Insurance			PacifiCare Health Plan			UnitedHealth Group Incorporated	4.
							Company	IN	A	Administrators, Inc.	Ownership	99.000		
0707	UnitedHealth Group Incorporated	70785	35-1137395				PacifiCare Life and Health Insurance			PacifiCare Health Systems, LLC			UnitedHealth Group Incorporated	
							Company	IN	JA		Ownership	1.000		
0707	UnitedHealth Group Incorporated	84506	95-2829463				PacifiCare Life Assurance Company			PacifiCare Health Plan			UnitedHealth Group Incorporated	
								CO	JA	Administrators, Inc.	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95617	94-3267522				PacifiCare of Arizona, Inc			PacifiCare Health Plan			UnitedHealth Group Incorporated	
								AZ	JA	Administrators, Inc	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95434	84-1011378				PacifiCare of Colorado, Inc			PacifiCare Health Plan			UnitedHealth Group Incorporated	
								CO	JA	Administrators, Inc.	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95685	86-0875231				PacifiCare of Nevada, Inc.			PacifiCare Health Plan			UnitedHealth Group Incorporated	
								NV		.Administrators, Inc	Ownership	100.000	•	
		00000					Passport Coast-to-Coast LLC	DE	NIA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0861832				Paularino Third Party Administrators,			For Health, Inc			UnitedHealth Group Incorporated	
		1					Inc	CA	NIA	-	Ownership	100.000		
		00000					Personal Performance Consultants			OptumHealth International B.V			UnitedHealth Group Incorporated	
		1	I	I			India Private Limited	IN		4	Ownership	99.996	1	
		00000					Personal Performance Consultants		1	United Behavioral Health			UnitedHealth Group Incorporated	.
		1	I	I			India Private Limited	IN		4	Ownership	0.004	1	
		00000					Personal Performance Consultants UK		1	PPC International, L.L.C.			UnitedHealth Group Incorporated	
		1					Limited	UK	NI A	-	Ownership	100.000		
		00000	80-0506053				PHC Holdings of Florida, Inc	TX	NIA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2288416				PHC Subsidiary Holdings, LLC	TX	NIA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	

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									Relationship		Management,	ship		
		NAIC				Name of Securities Exchange	Names of	Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
0707		13160	45-0571407	NOOD	CIN	(U.S. of International)		LUCATION	Littly		Other)	laye		+
9/0/	UnitedHealth Group Incorporated	13 160	45-05/140/				Physicians Health Choice of Arkansas,	45		PHC Subsidiary Holdings, LLC		400 000	UnitedHealth Group Incorporated	ļ, ļ
0707		40077	00 0404070				Inc.	AR	J A	1	Ownership	100.000		
<u>0</u> 707	UnitedHealth Group Incorporated	12977	32-0191973				Physicians Health Choice of New			PHC Subsidiary Holdings, LLC			UnitedHealth Group Incorporated	
							Mexico, Inc.	NM	J A	1	Ownership	100.000		
0707	UnitedHealth Group Incorporated	11494	04–3677255				Physicians Health Choice of Texas,			PHC Subsidiary Holdings, LLC			UnitedHealth Group Incorporated	.
							LLC	TX	J A		Ownership	100.000		
		00000	52-1162824				Physicians Health Plan of Maryland,			United HealthCare Services, Inc			UnitedHealth Group Incorporated	.
							Inc	MD	NI A	4	Ownership	100.000		
		00000					Picis Research and Development, S.A.U.			Ingenix Innovus (Netherlands) B.V.			UnitedHealth Group Incorporated	.
								ES	NI A		Ownership	100.000		
		00000					Picis SAS			Ingenix Innovus (Netherlands) B.V.			UnitedHealth Group Incorporated	.
1		I						FR	NI A		Ownership	100.000		
		00000	36-4375169	3886791			Picis, Inc.	DE	NI A	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	.
		00000					Picis, Ltd.	UK	NI A	Picis, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	.
		00000					PPC (Shanghai) Management Consulting			PPC International, L.L.C.	· ·		UnitedHealth Group Incorporated	
							Co., Ltd	CN	NI A		Ownership	100.000		ļ ļ
		00000	20-2149493				PPC International II, LLC	MO	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	43-1747235				PPC International, L.L.C.	MO	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					PPC Worldwide Canada EAP Services			PPC International, L.L.C.	0p		UnitedHealth Group Incorporated	
							Ltd.	CA	NIA		Ownership	100.000	on touriour in or out most portated	ļ, ļ
		00000					PPC Worldwide Holdings Pty. Ltd	<b>y</b> n		Personal Performance Consultants	Owner strip	100.000	UnitedHealth Group Incorporated	
							Tro norrantae noraniga rty. Eta.	AU	NIA	UK Limited	Ownership	100.000	on teancarti droup meorporated	ļ, ļ
		00000					PPC Worldwide Management Pty Ltd	AU	NIA	PPC Worldwide Holdings Pty. Ltd.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					PPC Worldwide Pte. Ltd.			International Psychological	Owner sirrp	100.000	UnitedHealth Group Incorporated	
		00000					110 WOTTOWING FILE. LIG.	SG	NIA	Services Pty Limited	Ownership	100.000	on tedhearth droup moorporated	ļ ļ
		00000					PPC Worldwide Pty Ltd	AU	NIA	PPC Worldwide Holdings Pty. Ltd.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					PPC Worldwide Pty Eta		NIA	PPC Worldwide Holdings Pty. Ltd		100.000	UnitedHealth Group Incorporated	
		00000	75–2741619				ppoONE, Inc.	AU DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0253112				Prime Health, Inc.	NV	NIA	Sierra Health Services, Inc.		100.000	UnitedHealth Group Incorporated	
			39-1579905								Ownership	100.000		
		00000		0044540			ProcessWorks, Inc.		NIA	UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	04-3383745	3014512			QualityMetric Incorporated	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-3168754				R&H Family Fitness Unlimited LLC	TX	NIA	WellMed Medical Management, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0151096				Rx Solutions NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					ScriptSwitch Holdings Limited	UK	NI A	Ingenix UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	.
		00000					ScriptSwitch Limited	<u>U</u> K	NI A	ScriptSwitch Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	71420	94-0734860				Sierra Health and Life Insurance	_		Sierra Health Services, Inc			UnitedHealth Group Incorporated	.
1							Company, Inc.	CA	JA	1	Ownership	100.000		
		00000	88-0200415				Sierra Health Services, Inc.	NV	NI A	UnitedHealthcare, Inc	Ownership	100.000	UnitedHealth Group Incorporated	.
		00000	88-0254322				Sierra Health-Care Options, Inc	NV	NI A	Sierra Health Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0385705				Sierra Home Medical Products, Inc	NV	NI A	Sierra Health Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	.
		00000	88-0264562				Sierra Nevada Administrators, Inc	NV	NI A	Sierra Health Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	.
		00000	88-0201420				Southwest Medical Associates, Inc	NV	NI A	Sierra Health Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-2609888				Southwest Michigan Health Network			UnitedHealthcare, Inc			UnitedHealth Group Incorporated	.
							Inc	MI	NI A	]	Ownership	100.000		
l		00000	41-1921983		l		Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	.
		00000	71-0886811				Spectera of New York, IPA, Inc.	NY	NI A	Spectera. Inc.	Ownership.	100.000	UnitedHealth Group Incorporated	.
		00000	52-1260282				Spectera, Inc.	MD	NI A	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	56-1970224				The Lewin Group, Inc.	·		Optum Public Sector Solutions,			UnitedHealth Group Incorporated	
								NC	NIA	Inc	Ownership	100.000	I I I I I I I I I I I I I I I I I I I	
1		00000	25-1825549				Three Rivers Holdings, Inc	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1431155				Travel Express Incorporated	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	JZ - 140 I 100				maver Express mourporated	JVID	NI /\	41 1 OH LIGHMEDEA, HIG	Omities 2111h	100.000	on reunearth droup incorporated	

# 16.6

## **SCHEDULE Y**

#### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

	PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM													
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
											Туре	If		
											of Control	Control		
											(Ownership,	is		
									Relationship		Board, Management,	Owner- ship		
		NAIC				Name of Securities Exchange	Names of	Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
		00000	94-3077084				U.S. Behavioral Health Plan,			United Behavioral Health			UnitedHealth Group Incorporated	. ]
							California	CA	A		Ownership	100.000		
		00000	41-1913059				UHC International Services, Inc	DE	NI A	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	95-2931460				UHC of California			PacifiCare Health Plan			UnitedHealth Group Incorporated	
		00000	41-1921008				IIIIO lialdiana Inc	CA DE	NIA	Administrators, Inc.	Ownership	100.000 100.000		
		00000	75-2788811				UHIC Holdings, IncUMR Care Management, LLLP	DE	NIA	United HealthCare Services, Inc United HealthCare Services, Inc	Ownership	99.000	UnitedHealth Group Incorporated	2
		00000	75-2788811				UMR Care Management, LLLP	DE	NIA.	UMR. Inc.	Ownership	1.000	UnitedHealth Group Incorporated	2
		00000	39-1995276				UMR, Inc.	DE	NIA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
0707 UnitedH	ealth Group Incorporated	91529	52-1996029				Unimerica Insurance Company		JA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
	ealth Group Incorporated	11596	01-0637149				Unimerica Life Insurance Company of			UnitedHealthcare Insurance			UnitedHealth Group Incorporated	
							New York	NY		Company	Ownership	100.000		
		00000	33-0446372				Union Health Solutions, Inc.	CA	NIA	PacifiCare Health Systems, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	25-1877716				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707		00000	20-5917714 62-1839257				Unison Health Plan of Delaware, Inc Unison Health Plan of Tennessee, Inc.	DE	JA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707 UnitedH	ealth Group Incorporated	11139	. 02-1039237				Unison Health Plan of Tennessee, Inc.	TN	IA	Three Rivers Holdings, Inc	Ownership.	100.000	UnitedHealth Group Incorporated	
0707 UnitedH	ealth Group Incorporated	13032	26-0651931				Unison Health Plan of the Capital			Three Rivers Holdings, Inc	Owner Sirry	100.000		
Dror onrtour	out the droup moorporatou		20 000 100 1				Area. Inc.	DC	IA	Thi of thi voic horanigo, the	Ownership	100.000	on touriour tir droup moorporated	
		00000	94-2649097				United Behavioral Health	CA	NI A	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1868911				United Behavioral Health of New York,			United Behavioral Health			UnitedHealth Group Incorporated	
							I.P.A., Inc	NY	NIA		Ownership	100.000		
		00000	41-1941615				United Health Foundation	MN		UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1289245	3410132			United HealthCare Services, Inc.	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0318238				United Resource Networks IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc	Ownership.	100.000	UnitedHealth Group Incorporated	
		00000	01-0538317				UnitedHealth Advisors, LLC	ME	NIA	United HealthCare Services, Inc	Ownership	100.000	 	
		00000	. 01 0330317				UnitedHealth Capital, LLC	DE	NIA	United HealthCare Services, Inc	Ownership	100.000		
		00000					UnitedHealth Group Global Healthcare			PacifiCare Health Plan			UnitedHealth Group Incorporated	
							Services Limited	IE	NIA	Administrators, Inc.	Ownership	100.000		
		00000					UnitedHealth Group Global Services,			UnitedHealth Group International			UnitedHealth Group Incorporated	
							Inc	PH	NI A	B.V	Ownership	100.000		
		00000	41-1321939		0000731766	New York Stock Exchange	UnitedHealth Group Incorporated	MN	UIP	Illa i de all les I de Constant de la Constant de l			Haited In 1th Carry I am an tail	-
		00000					UnitedHealth Group Information Services Private Limited	IN	NIA	UnitedHealth Group International	Ownership	99.370	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group Information			UnitedHealth International. Inc.	Owner Strip	99.570	UnitedHealth Group Incorporated	
							Services Private Limited	IN	NIA	om toursurth international, ille.	Ownership	0.630	on tourout in droup moorporated	
		00000					UnitedHealth Group International B.V.			UnitedHealth Group Incorporated			UnitedHealth Group Incorporated	.
			1		1			NL	NI A		Ownership	100.000		
		00000	41-1917398				UnitedHealth International, Inc	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Military & Veterans			United HealthCare Services, Inc			UnitedHealth Group Incorporated	
		00000	1				Services, LLC	DE	NIA	Innersity IIV Heldings Lighted	Ownership	100.000		
0707 UnitedH	ealth Group Incorporated	00000 95174	33-0115163				UnitedHealth UK Limited UnitedHealthcare Benefits of Texas,	UK	NIA	Ingenix UK Holdings Limited PacifiCare Health Plan	Ownership	100.000	UnitedHealth Group Incorporated UnitedHealth Group Incorporated	
טווע טווע	earth droup incorporated	J	601 61 10				Inc.	TX	IΔ	Administrators, Inc.	Ownership	100.000	on reunearth Group moorporated	
0707 UnitedH	ealth Group Incorporated	12323	56-2451429				UnitedHealthcare Community Plan of	ΙΙ Λ		Three Rivers Holdings, Inc.	- σπισι σπι μ		UnitedHealth Group Incorporated	
J. J	w. ouporporutou						Ohio, Inc.	OH	JA		Ownership	100.000		
0707 UnitedH	ealth Group Incorporated	11141	91-2008361				UnitedHealthcare Community Plan of			Ovations, Inc.	,	] <u></u>	UnitedHealth Group Incorporated	.
							Texas, L.L.C.	TX	JA	1	Ownership	100.000		
0707 UnitedH	ealth Group Incorporated	95467	38-3204052				UnitedHealthcare Community Plan, Inc.			AmeriChoice Corporation			UnitedHealth Group Incorporated	
			1		1			MI			Ownership	100.000		

## **SCHEDULE Y**

#### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

	PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM													
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
				-							Type	If		
											of Control	Control		
											(Ownership,	is		
											Board,	Owner-		
									Relationship		Management,	ship		
		NAIC				Name of Securities Exchange	Names of	Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
Code	Group Name	00000	Number	NOOD	Oil	(0:5: of international)	UnitedHealthcare India Private	Location	Littity	UnitedHealth Group International	Other)	lage	UnitedHealth Group Incorporated	+
		00000					Limited	IN	MIA	· · · · · · · · · · · · · · · · · · ·	O	00 005	offitedhearth droup incorporated	
		00000							NIA	B.V	Ownership	99.995		
		00000					UnitedHealthcare India Private			UnitedHealth International, Inc		0.005	UnitedHealth Group Incorporated	
0707		70440	00 0700574				Limited	IN	NIA	1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Ownership	0.005		
0707	UnitedHealth Group Incorporated	79413	. 36-2739571				UnitedHealthcare Insurance Company	CT	J A	UHIC Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60318	. 36–3800349				UnitedHealthcare Insurance Company of			UnitedHealthcare Insurance			UnitedHealth Group Incorporated	
							Illinois	IL	J A	Company	Ownership	100.000		
0707	UnitedHealth Group Incorporated	60093	. 11–3283886				UnitedHealthcare Insurance Company of			UnitedHealthcare Insurance			UnitedHealth Group Incorporated	
							New York	NY		. Company	Ownership	100.000		
0707	UnitedHealth Group Incorporated	73518	31-1169935				UnitedHealthcare Insurance Company of			UnitedHealthcare Insurance			UnitedHealth Group Incorporated	.
							Ohio	DH	J A	Company	Ownership	100.000		
0707	UnitedHealth Group Incorporated	12231	20-1902768				UnitedHealthcare Insurance Company of			UnitedHealthcare Services Company			UnitedHealth Group Incorporated	.
							the River Valley	JL	JA	of the River Valley, Inc	Ownership	100.000		
		00000					UnitedHealthcare International Asia,			UnitedHealth Group Incorporated			UnitedHealth Group Incorporated	.
							LLC	DE	NIA	]	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95784	63-0899562				UnitedHealthcare of Alabama, Inc	AL	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	.
0707	UnitedHealth Group Incorporated	96016	86-0507074				UnitedHealthcare of Arizona, Inc.	AZ	I A	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95446	63-1036819				UnitedHealthcare of Arkansas, Inc	AR	]JA	UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95090	84-1004639				UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95264	59-1293865				UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Georgia, Inc.	GA	JA	UnitedHealthcare, Inc.	Ownership.		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60318	36-3280214				UnitedHealthcare of Illinois, Inc.	II	IA	UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd	KY	JA	United HealthCare Services. Inc.	Ownership		UnitedHealth Group Incorporated	3
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd	KY	IA	UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	3
0707	UnitedHealth Group Incorporated	95833	72-1074008				UnitedHealthcare of Louisiana, Inc	LA		UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	و
0707	UnitedHealth Group Incorporated	95716	63-1036817				UnitedHealthcare of Mississippi, Inc.		J /\	UnitedHealthcare, Inc.	Owner Strip		UnitedHealth Group Incorporated	
0101	Jointeunearth Group Incorporated	937 10	. 03-1030017				Officed lear theare of wississippi, inc.	MS	1.4	offiteurearthcare, file.	Ownership	100.000	officed learth droup Theorperated	
0707	Haitadha Ith Casa Isaasaatad	95149	05-0413469				Haitadla Harana at New Francisco		J A		Owner Ship	100.000		
0707	UnitedHealth Group Incorporated	95 149	. 05-04 13469				UnitedHealthcare of New England, Inc.	RI		United HealthCare Services, Inc	0	100 000	UnitedHealth Group Incorporated	
0707	11. 14. 311. 141. 0 1	10011	00 0007000				Half And Half and Andrew Control of the Manager Land	КІ	J A	111.14.411.141	Ownership	100.000		
0707	UnitedHealth Group Incorporated	13214	. 26-2697886				UnitedHealthcare of New Mexico, Inc			UnitedHealthcare Insurance		400 000	UnitedHealth Group Incorporated	
		05005						NM	JA	Company	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95085	. 06-1172891				UnitedHealthcare of New York, Inc	NY	J A	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95103	56-1461010				UnitedHealthcare of North Carolina,			UnitedHealthcare, Inc.			UnitedHealth Group Incorporated	
							Inc	NC	_ J A	1	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Ohio, Inc	DH	JA	1	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96903	. 33-0115166				UnitedHealthcare of Oklahoma, Inc			PacifiCare Health Plan			UnitedHealth Group Incorporated	. [
								OK	J A	Administrators, Inc	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95893	93-0938819				UnitedHealthcare of Oregon, Inc			PacifiCare Health Plan			UnitedHealth Group Incorporated	-
								OR	J A	Administrators, Inc	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Pennsylvania,			Three Rivers Holdings, Inc			UnitedHealth Group Incorporated	.
							Inc	PA	JA	_	Ownership	100.000		
0707	UnitedHealth Group Incorporated	11775	32-0062883				UnitedHealthcare of South Carolina,			Three Rivers Holdings, Inc			UnitedHealth Group Incorporated	.
							Inc	SC	JA	]	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
	UnitedHealth Group Incorporated	95025	52-1130183				UnitedHealthcare of the Mid-Atlantic.			UnitedHealthcare, Inc.	,		UnitedHealth Group Incorporated	
							Inc.	MD	IA	]	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midlands,			UnitedHealthcare, Inc.			UnitedHealth Group Incorporated	
							Inc.	NF	IΔ		Ownership	100.000		
0707	UnitedHealth Group Incorporated	96385	43-1361841				UnitedHealthcare of the Midwest, Inc.			UnitedHealthcare, Inc.	οπισι σπιρ		UnitedHealth Group Incorporated	
								MO	ΙΔ	S touriour triour o, Trio.	Ownership	100.000		
0707	UnitedHealth Group Incorporated	95501	41-1488563				UnitedHealthcare of Utah, Inc.	UT	JA	UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	
١٠١٧	John reunearth Group Hicorporated		6000041 =1 = 1				Oniteuneartheare of Otall, IIIC.	الا	- J M	John Leunean Lincare, IIIC.	Omities 9111h	100.000	on realieatth aroup incorporated	

#### SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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											Type	It .		
											of Control	Control		
											(Ownership,	is		
											Board,	Owner-		
									Relationship		Management,	ship		
		NAIC				Name of Securities Exchange		Domi-	to		Attorney-in-Fact,	Provide		
Group		Company	Federal ID	Federal		if Publicly Traded	Parent, Subsidiaries	ciliary	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	(U.S. or International)	Or Affiliates	Location	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
0707	UnitedHealth Group Incorporated	48038	91-1312551				UnitedHealthcare of Washington, Inc			PacifiCare Health Plan			UnitedHealth Group Incorporated	
								WA	JA	Administrators, Inc.	Ownership	100.000		
	UnitedHealth Group Incorporated	95710	39-1555888				UnitedHealthcare of Wisconsin, Inc	WI		UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95378	36-3379945				UnitedHealthcare Plan of the River			UnitedHealthcare Services Company			UnitedHealth Group Incorporated	
							Valley, Inc.	JL	J A	of the River Valley, Inc	Ownership	100.000		
		00000	47-0854646				UnitedHealthcare Service LLC			UnitedHealthcare Insurance			UnitedHealth Group Incorporated	
								DE	NI A	Company	Ownership	100.000		
		00000	36-3355110				UnitedHealthcare Services Company of			UnitedHealthcare, Inc			UnitedHealth Group Incorporated	
							the River Valley, Inc	DE	NI A		Ownership	100.000		
		00000	41-1922511				UnitedHealthcare, Inc.	DE	NI A	-	Ownership		UnitedHealth Group Incorporated	
		00000	37-0920164				UnitedHealthOne Agency, Inc			Golden Rule Financial Corporation			UnitedHealth Group Incorporated	
								IN	NIA		Ownership	100.000		
		00000	74-2797745				WellMed Medical Management of			WellMed Medical Management, Inc			UnitedHealth Group Incorporated	
							Florida, Inc.	FL	NI A		Ownership	100.000		
		00000	74-2786364				WellMed Medical Management, Inc	TX	NIA		Ownership		UnitedHealth Group Incorporated	4
		00000	36-3437660				Wellness, Inc.	IL	NI A	. OptumHealth Care Solutions, Inc	Ownership		UnitedHealth Group Incorporated	
		00000					Worldwide Clinical Trials, SL	ES	NIA	OptumInsight, Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	20-0325334				XLHealth BIPA, Inc.	MD	NI A	XLHealth Corporation	Ownership		UnitedHealth Group Incorporated	
		00000	52-2102846				XLHealth Corporation	MD	NIA	_United HealthCare Services, Inc	Ownership		UnitedHealth Group Incorporated	
		00000					XLHealth Corporation India Private			XLHealth Corporation			UnitedHealth Group Incorporated	
							Limited	IN	NIA	-	Ownership	100.000		
		00000	20-2717264				XLHealth Tennessee, LLC	MD	NIA	XLHealth Corporation	Ownership		UnitedHealth Group Incorporated	
		00000	52-2111342				XLHealth Texas, Inc.	MD	NI A	.XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
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Asterisk	Explanation
1	The remaining 50% is owned by a non-UnitedHealth Group company
2	The general partnership interest of 1.0% is held by UMR, Inc. and the limited partnership interest of 99% is held by United HealthCare Services, Inc.
3	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthCare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.83%
4	The general partnership interest of 1.0% is held by UMR. Inc. and the limited partnership interest of 99% is held by United HealthCare Services, Inc.
	ş

#### SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
	Explanation:	
1.		
1.	Bar Code:  Medicare Part D Coverage Supplement [Document Identifier 365]	

#### **OVERFLOW PAGE FOR WRITE-INS**

# NONE

#### **SCHEDULE A - VERIFICATION**

Real Estate

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted arrying to the summer control of the summ		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

#### **SCHEDULE B - VERIFICATION**

	Mortgage Loans		
		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage in crest polyment and a mittney dees		
9.	Total foreign exchange change in book value/recorded investment exclusing accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

## **SCHEDULE BA - VERIFICATION**

	Other Long-Term Invested Assets									
	-	1	2							
		Variate Data	Prior Year Ended							
		Year to Date	December 31							
1.	Book/adjusted carrying value, December 31 of prior year									
2.	Cost of acquired:									
	2.1 Actual cost at time of acquisition									
	2.2 Additional investment made after acquisition									
3.	Capitalized deferred interest and other									
4.	Accrual of discount									
5.	Unrealized valuation increase (decrease)									
6.	Total gain (loss) on disposals									
7.	Deduct amounts received on disposals									
8.	Deduct amortization of premium and depreciation									
9.	Total foreign exchange change in book/adjusted carrying value									
10.	Deduct current year's other than temporary impairment recognized									
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)									
12.	Deduct total nonadmitted amounts									
13.	Statement value at end of current period (Line 11 minus Line 12)									

#### **SCHEDULE D - VERIFICATION**

Bonds and Stocks Prior Year Ended Year to Date December 31 Book/adjusted carrying value of bonds and stocks, December 31 of prior year .39,853,474 .41,826,286 2. Cost of bonds and stocks acquired ..6,436,457 .26,265,738 3. Accrual of discount . ..4,826 ..47, 178 Unrealized valuation increase (decrease) ... 168,829 .270,310 5. Total gain (loss) on disposals ..5,217,575 28,075,614 6. Deduct consideration for bonds and stocks disposed of ... ..89,273 .480,424 Deduct amortization of premium. ...0 Total foreign exchange change in book/adjusted carrying value. 8. .1,910 9. ..0 Deduct current year's other than temporary impairment recognized Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) . .41, 154, 828 .39,853,474 10. ..0 .0 11. Deduct total nonadmitted amounts . 41, 154, 828 Statement value at end of current period (Line 10 minus Line 11) 39,853,474

#### **SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

Daning	1	2	3	4	5	6	7	8
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	165 , 154 , 297	257,803,034	243,712,918	(286,729)	178,957,684	0	0	165 , 154 , 297
2. Class 2 (a)	4,170,544	2, 133, 459	1,075,000	(41,598)	5, 187, 405	0	0	4,170,544
3. Class 3 (a)	0	0	0	0	0	0	0	0
4. Class 4 (a)	0	0	0	0	0	0	0	0
5. Class 5 (a)	0	0	0	0	0	0	0	0
6. Class 6 (a)	. 0	0	0	0	0	0	0	0
7. Total Bonds	169,324,841	259,936,493	244,787,918	(328,327)	184, 145, 089	0	0	169,324,841
PREFERRED STOCK								
8. Class 1	0	0	0	0	0	0	0	0
9. Class 2	0	0	0	0	0	0	0	0
10. Class 3	0	0	0	0	0	0	0	0
11. Class 4	0	0	0	0	0	0	0	0
12. Class 5	0	0	0	0	0	0	0	0
13. Class 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	. 0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	169,324,841	259,936,493	244,787,918	(328,327)	184,145,089	0	0	169,324,841

#### **SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	141,736,445	XXX	142,109,872	198,036	103,409

#### **SCHEDULE DA - VERIFICATION**

Short-Term Investments

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	127,467,826	99,234,787
2.	Cost of short-term investments acquired	251,495,040	896,844,945
3.	Accrual of discount	6,573	12,058
4.	Unrealized valuation increase (decrease)	0	0
5.	Total gain (loss) on disposals	0	350
6.	Deduct consideration received on disposals	236,989,168	867,664,191
7.	Deduct amortization of premium	243,826	960 , 123
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	141,736,445	127,467,826
11.	Deduct total nonadmitted amounts	0	0
12.	Statement value at end of current period (Line 10 minus Line 11)	141,736,445	127,467,826

# Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards $N\ O\ N\ E$

# Schedule DB - Part B - Verification - Futures Contracts $N\ O\ N\ E$

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

#### **SCHEDULE E - VERIFICATION**

(Cash Equivalents)

	(Oddit Equitalistic)	1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	2,003,538	6,001,059
2.	Cost of cash equivalents acquired	2,004,994	895,658,354
3.	Accrual of discount	325	5,777
4.	Unrealized valuation increase (decrease)	0	0
5.	Total gain (loss) on disposals		0
6.	Deduct consideration received on disposals	2,750,000	899,636,000
7.	Deduct amortization of premium	5,041	25,652
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	1,253,816	2,003,538
11.	Deduct total nonadmitted amounts	0	0
12.	Statement value at end of current period (Line 10 minus Line 11)	1,253,816	2,003,538

# Schedule A - Part 2 - Real Estate Acquired and Additions Made NONE

Schedule A - Part 3 - Real Estate Disposed NONE

Schedule B - Part 2 - Mortgage Loans Acquired NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  $N\ O\ N\ E$ 

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired  $\overline{N}$   $\overline{O}$   $\overline{N}$   $\overline{E}$ 

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  $N\ O\ N\ E$ 

#### **SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

			OHOW All	Long-Term Bonds and Stock Acquired Duning the Current Quarter					
1	2	3	4	5	6	7	8	9	10
									NAIC Desig-
									nation or
					Number of			Paid for Accrued	Market
CUSIP			Date		Shares of			Interest and	Indicator
Identification	Description	Foreign	Acquired	Name of Vendor	Stock	Actual Cost	Par Value	Dividends	(a)
	US Treasury Note 4.250% 11/15/17	rorcigii	03/01/2012	Bank New York Mellon	Otook	1,292,672	1, 100,000	13.871	
	otal - Bonds - U.S. Governments			Dank for the form		1,292,672	1, 100, 000	13.871	
	CA St GO Cont Call 5.000% 02/01/23		03/06/2012	Funb Funds II		145.795	125,000	17	1FF
	OH St GO Non Call 5.000% 09/15/23		01/11/2012	PNC		952,253		0	1FE
1799999, Subt	otal - Bonds - U.S. States, Territories and Possessions	•				1.098.048	875.000	17	XXX
05522R-BD-9	Bank of America CC Tr BACCT 2007-A8 A8 ABS 5.590% 11/17/14		01/19/2012	Wachovia Bank			750,000	1,048	1FE
	Capital Auto Rec CARAT 2008-2 B ABS Prv Plc 6.460% 12/15/14		03/20/2012	RBS Securities		67,783	65,000	93	1FE
	GE Cap Corp Note Non Call 5.625% 09/15/17		01/18/2012	CitiGroup		152,588	135,000	2,700	1FE
58768T-AD-8	Mercedes Benz MBALT 2012-A A4 ABS 1.070% 11/15/17		03/15/2012	JP Morgan Chase		129,988	130,000	0	1FE
61760V-AM-5	Morgan Stanley MSC 2012-C4 A2 CMBS 2.111% 03/15/45		03/09/2012	Morgan Stanley		95,947	95,000	150	1FE
65476Q-AC-4	Nissan Auto Lease Tr NALT 2012-A A3 ABS 0.980% 05/15/15		03/15/2012	Montgomery Securities	<b></b>	249,960	250,000	0	1FE
718546-AD-6	Phillips 66 Corp Note MW 20BP Prv Plc 1.950% 03/05/15		03/07/2012	CitiGroup		59,972	60,000	0	2FE
743263-AS-4	Progress Energy Inc Corp Note Call 3.150% 04/01/22		03/05/2012	JP Morgan Chase		24,908	25,000	0	2FE
	Westpac Banking Cert of Deposit 0.711% 02/06/13		02/03/2012	Jefferys and Company		1,000,000	1,000,000	0	1FE
06741J-S2-6	Barclays Corp Note Non Call 2.750% 02/23/15	F	02/15/2012	Barclays Group Inc.		149,910	150,000	0	1FE
22550D-HF-9	Credit Suisse Cert of Deposit 0.953% 01/29/13	F	01/27/2012	Credit Suisse		500,000	500,000	0	1FE
63253T-LE-6	Natl AU Bank Cert of Deposit 0.732% 01/10/13	<u>F</u>	01/04/2012	Deutsche Bank		750,000	750,000	0	1FE
	Volkswagen Intl Fin Corp Note Non Call Prv Plc 1.625% 03/22/15	F	03/19/2012	Montgomery Securities		99,714	100,000	0	1FE
	otal - Bonds - Industrial and Miscellaneous (Unaffiliated)					4,045,741	4,010,000	3,991	XXX
	l - Bonds - Part 3					6,436,461	5,985,000	17,879	XXX
	I - Bonds - Part 5					XXX	XXX	XXX	XXX
8399999. Tota						6,436,461	5,985,000	17,879	
	l - Preferred Stocks - Part 3					0	XXX	0	XXX
8999998. Tota	l - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX
8999999. Tota	I - Preferred Stocks					0	XXX	0	XXX
9799997. Tota	I - Common Stocks - Part 3					0	XXX	0	XXX
9799998. Tota	I - Common Stocks - Part 5					XXX	XXX	XXX	XXX
9799999. Total	I - Common Stocks					0	XXX	0	XXX
9899999. Tota	I - Preferred and Common Stocks					0	XXX	0	XXX
9999999 - Tota	als					6,436,461	XXX	17,879	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

### **SCHEDULE D - PART 4**

	Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter  1 2 3 4 5 6 7 8 9 10 Change In Book/Adjusted Carrying Value 16 17 18 19 20 21 22																				
1	2	3	4	5	6	7	8	9	10	Ch	ange In Bo	ok/Adjusted	Carrying Va	lue	16	17	18	19	20	21	22
										11	12	13	14	15							
													Total	Total							NAIC
												Current	Change in	Foreign							Desig-
												Year's	Book/	Exchange	Book/				Bond		nation
									Prior Year		Current	Other Than	Adjusted	Change in	Adjusted	Foreign			Interest/	Stated	or
									Book/	Unrealized	Year's	Temporary	Carrying	Book	Carrying	Exchange	Realized		Stock	Con-	Market
CUSIP					Number of				Adjusted	Valuation	(Amor-	Impairmen	t Value	/Adjusted	Value at	Gain	Gain	Total Gain	Dividends	tractual	In-
Ident-		For-	Disposal	Name	Shares of	Consid-		Actual	Carrying	Increase/	tization)/	Recog-	(11 + 12 -	Carrying	Disposal	(Loss) on	(Loss) on	(Loss) on	Received	Maturity	dicator
ification	Description	eign	Date	of Purchaser	Stock	eration	Par Value	Cost	Value	(Decrease)	Accretion	nized	13)	Value	Date	Disposal	Disposal	Disposal	DuringYear	Date	(a)
	Suffolk VA GO Non Call 5.000% 02/01/15		01/23/2012	Pershing		224,824	200,000	214,880	206,441	0	( 133)	0	(133)	0	206,308	0	18,516	18,516	4,861	02/01/2015	1FE
2499999. S	Subtotal - Bonds - U.S. Political Subdi	visions	s of States,	Territories and Poss	sessions	224,824	200,000	214,880	206,441	0	(133)	0	(133)	0	206,308	0	18,516	18,516	4,861	XXX	XXX
005446 74 5	Dallas TX Wtr Swr Sys Rev Bond Non Call		04/44/0040	First Couthwest		010 015	E00 000	546,760	528.085	0	(101)		(101)	0	527.895	0	00 400	00 100	7 001	10 /01 /0017	100
	5.000% 10/01/17 FHLMC Pool G18303 MBS 4.500% 03/01/24		01/11/2012 01/01/2012	First Southwest Pavdown		610,015 13.565	500,000 13.565	546,760	528,085	0	(191) (290)		(191)	0	527,895	٥	82,120	82 , 120	7,361 51	10/01/2017 03/01/2024	. IFE
	FHLMC Pool G18303 MBS 4.500% 03/01/24			Pavdown		10.571	10,571	10,817	10,797	0	(226)	0	(236)	0	10.571	0	0	0	79	_03/01/2024 _	1
	FHLMC Pool G18303 MBS 4.500% 03/01/24		03/01/2012	Paydown		10,227	10,227	10,465	10,446	0	(219)	0	(219)	0	10,227	0	0	0	115	_03/01/2024 _	1
	FHLMC Pool J12429 MBS 4.000% 06/01/25		01/01/2012	Paydown		5,038	5,038	5,324	5,320	0	(281)	0	(281)	0	5,038	0	0	0	17	_06/01/2025 _	1
	FHLMC Pool J12429 MBS 4.000% 06/01/25		02/01/2012	Paydown		8,228	8,228	8,695	8,687		(459)		(459)	0	8,228	0	0	0	55	06/01/2025	. 1
	FHLMC Pool J12429 MBS		03/01/2012	Paydown	-	8,330 332	8,330 332	8,804 337	8,796	ō	(465)		(465)	ō	8,330	0	ō	ļ	83	06/01/2025	- 1
31376J-VP-1 31376J-VP-1	FNMA Pool 357122 MBS 6.000% 05/01/16 FNMA Pool 357122 MBS 6.000% 05/01/16		01/01/2012 02/01/2012	Paydown		332	332	337	335 385		(3)		(3)	0 n	332	0 n	0	0 n	2	05/01/2016 05/01/2016	1
31376J-VP-1	FNMA Pool 357122 MBS		03/01/2012	Paydown		338	338	343	341	0	(3)		(3)	0	338	n	0	n	5	05/01/2016	1
3138A1-J9-3	FNMA Pool AH0287 MBS 3.500% 12/01/25		01/01/2012	Paydown		126	126	130	130		(4)		(4)	0	126	0	0	0	0	12/01/2025	1
3138A1-J9-3	FNMA Pool AH0287 MBS 3.500% 12/01/25		02/01/2012	Paydown		128	128	132	132	0	(4)		(4)	0	128	0	0	0	1	12/01/2025	1
3138A1-J9-3	FNMA Pool AH0287 MBS 3.500% 12/01/25		03/01/2012	Paydown		3,026	3,026	3, 119	3, 115		(90)	0	(90)	0	3,026	0	0	0	26	12/01/2025	. 1
3138A4-5S-0	FNMA Pool AH3556 MBS 3.500% 02/01/26		01/01/2012	Paydown		692	692 1.008	690	690	0	1	0	1	0	692	0	0	0	2	_02/01/2026 _	1
3138A4-5S-0 3138A4-5S-0	FNMA Pool AH3556 MBS 3.500% 02/01/26 FNMA Pool AH3556 MBS 3.500% 02/01/26		02/01/2012 03/01/2012	PaydownPaydown		1,008 350	350	1,006 349	1,006 349		2	0	2	0	1,008 350	٥	0		b	02/01/2026 02/01/2026	1
	FNMA Pool AH2793 MBS 3.500% 02/01/26			Paydown		6,924	6,924	7, 138	7,130	0	(205)	0	(205)	0	6,924	0	0	0	20	01/01/2026	1
	FNMA Pool AH2793 MBS 3.500% 01/01/26			Paydown		44,903	44,903	46,287	46,236	0	(1,333)		(1,333)	0	44,903	0	0	0	262	01/01/2026	1
	FNMA Pool AH2793 MBS 3.500% 01/01/26			Paydown		38,931	38,931	40,131	40,087	0	(1,155)	0	(1, 155)	0	38,931	0	0	0	341	_01/01/2026 _	1
	FNMA Pool AH5614 MBS 3.500% 02/01/26					3,763	3,763	3,756	3,756		7	0	7	0	3,763	0	0	0	11	02/01/2026	
	FNMA Pool AH5614 MBS 3.500% 02/01/26 FNMA Pool AH5614 MBS 3.500% 02/01/26					3,920 3,283	3,920	3,913	3,913	0	7	0		0	3,920	0	0	0	23	02/01/2026 02/01/2026	1
	FNMA Pool AH9345 MBS 3.500% 02/01/26			Paydown		5,860	3,283 5,860	3,277 5,946	3,277 5,944	0	6 (84)	J0	6		3,283 5,860	٥	0		29	02/01/2026 04/01/2026	1
3138AB-L3-1	FNMA Pool AH9345 MBS 3.500% 04/01/26			Paydown		7, 167	7, 167	7,272	7,269	0	(102)		(102)	0	7,167	0	0	0	42	04/01/2026	1
3138AB-L3-1	FNMA Pool AH9345 MBS 3.500% 04/01/26		03/01/2012	Paydown		4,361	4,361	4,425	4,423	0	(62)		(62)	0	4,361	0	0	0	38	04/01/2026	1
3138AF-G5-3	FNMA Pool Al2019 MBS 3.500% 04/01/26		01/01/2012	Paydown		2,952	2,952	2,995	2,994	0	(42)		(42)	0	2,952	0	0	0	9	04/01/2026	1
3138AF-G5-3	FNMA Pool Al2019 MBS 3.500% 04/01/26		02/01/2012	Paydown		2, 165	2, 165	2, 197	2, 196		(31)		(31)	0	2,165	0	0	0	13	04/01/2026	
3138AF-G5-3 3138AF-KQ-2	FNMA Pool Al2019 MBS 3.500% 04/01/26 FNMA Pool Al2102 MBS 3.500% 05/01/26		03/01/2012 01/01/2012	Paydown		2,239 2,074	2,239 2,074	2,272 2,105	2,271 2,104	0	(32)	0	(32)	0	2,239 2,074	0	0	0	20	04/01/2026 05/01/2026	1
3138AF-KQ-2	FNMA Pool A12102 MBS 3.500% 05/01/26		02/01/2012	Paydown		16.076			2, 104 16,305		(230)	0	(30)	0	16,076	٥	0		Q4	05/01/2026	1
3138AF-KQ-2	FNMA Pool Al2102 MBS 3.500% 05/01/26		03/01/2012	Paydown		1,901	1,901	1,928	1,928	0	(27)	0	(27)	0	1,901	0	0	0	17	05/01/2026	1
3138AN-4F-7	FNMA Pool A18921 MBS 4.000% 08/01/26		01/01/2012	Paydown		2,444	2,444	2,591	2,588	0	(144)		(144)	0	2,444	0	0	0	8	.08/01/2026	1
	FNMA Pool A18921 MBS 4.000% 08/01/26			Paydown		1,947	1,947	2,064	2,062	0	(115)		(115)	0	1,947	0	0	0	13	_08/01/2026 _	1
	FNMA Pool A18921 MBS 4.000% 08/01/26			Paydown		706	706	748	748	0	(42)	0	(42)	0	706	0	0	0	7	08/01/2026	. 1
	FNMA Pool Al9960 MBS			Paydown Paydown		738 9,557	738 9.557	783 10 . 139	782 10 . 131	0	(44)	0	(44)	0	738 9.557	0	0	0	2	10/01/2026 10/01/2026	1
	FNMA Pool A19960 MBS 4.000% 10/01/26		02/01/2012	Paydown		9,557	9,557	10, 139		0	(374)	n	(43)	n	723	 N	0	n	7	10/01/2026	1
	FNMA Pool 908576 MBS 6.000% 01/01/22		01/01/2012	Paydown		146	146	149	148		(2)		(2)	0	146	0	0	0	1	01/01/2022	1
	FNMA Pool 908576 MBS 6.000% 01/01/22		02/01/2012	Paydown		147	147	149	149	0	(2)	0	(2)	0	147	0	0	0		_01/01/2022 _	1
	FNMA Pool 908576 MBS 6.000% 01/01/22		03/01/2012	Paydown		4,844	4,844	4,923	4,910	<u>0</u>	(67)		(67)	0	4,844	0	0	0	73	01/01/2022	
31419F-2L-9	FNMA Pool AE5278 MBS 3.500% 08/01/25		01/01/2012	Paydown	-	140 140	140	144 145	144 144	0	(4)		(4)	ō	140	0	0	ļ	ļ0	08/01/2025	1
	FNMA Pool AE5278 MBS 3.500% 08/01/25 FNMA Pool AE5278 MBS 3.500% 08/01/25		02/01/2012 03/01/2012	Paydown		140	140 141	145	144		(4)		(4)	0 n	140141	0 n	0	0 n	1	08/01/2025 08/01/2025	1
בורו או עב	Memphis Shelby TN Sports Rev Bond Non Call			. i ayuuwii		141	141	143	140				(4)		141					00/01/2020	
586123-CC-3	5.000% 11/01/17		01/11/2012	Mitsubishi Bank LTD		581,595	500,000	548,645	529,223	0	(197)		(197)	0	529,025	0	52,570	52,570	5,278	11/01/2017	1FE
	MO St Hsg Dev Comm Sgl Rev Bond Call Sink								·												
	5.050% 03/01/38		03/01/2012			5,000	5,000	5,219	5, 167	0	(167)	0	(167)	0	5,000	0	0	0	126	09/01/2022	1FE
	NY St Dorm Auth Rev Bond Non Call 5.000%		02/07/0040	Fidelity Capital Market	S	350.970	300.000	000 000	337 . 118	0	(1,041)		(1,041)	_	336.076	0	14.894	44 004	0 500	DE /01 /0010	1FF
	05/01/18		03/07/2012					338,388	,		, ,	0		0				14,894	6,500	05/01/2018	
3 199999. 5	Subtotal - Bonds - U.S. Special Reven  American Express Cr AMXCA 2007-1 A ABS	นษร				1,778,113	1,535,533	1,676,193	1,636,527	0	(7,997)	0	(7,997)	0	1,628,529	0	149,584	149,584	20,834	XXX	XXX
02582J-EK-2	0.262% 09/15/14		02/15/2012	Paydown		189,000	189,000	188,823	188,972	n	28	n	28	n	189.000	n	n	n	99	09/15/2014	1FE
	GE Cap Corp Note Non Call 4.375% 03/03/12 .		01/18/2012	Merrill Lynch		150,639	150,000	146,676	149,860	٥	50	0	50	0	149,910	0	729	729	2,552	03/03/2012	
	National City CC NCCMT 2007-1 A ABS 0.292%			•					•								20				
635414-AG-0	03/17/14		03/15/2012	Paydown		750,000	750,000	749,238	749,755	0	245	0	245	0	750,000	0	0	0	611	03/17/2014	1FE
	-					_															

#### **SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

	1 2 3 4 5 6 7 8 9 10 Change In Book/Adjusted Carrying Value 16 17 18 19 20 21 22																				
1	2	3	4	5	6	7	8	9	10	Ch	nange In Bo	ok/Adjusted	Carrying Va	lue	16	17	18	19	20	21	22
										11	12	13	14	15							
													Total	Total							NAIC
												Current	Change in	Foreign							Desig-
												Year's	Book/	Exchange	Book/				Bond		nation
									Prior Year		Current	Other Than		Change in	Adjusted	Foreign			Interest/	Stated	or
									Book/	Unrealized	Year's	Temporary	.,	Book	Carrying	Exchange	Realized		Stock	Con-	Market
CUSIP					Number of				Adjusted	Valuation	(Amor-	Impairment		/Adjusted	Value at	Gain	Gain	Total Gain	Dividends	tractual	In-
Ident-		For-	Disposal	Name	Shares of	Consid-		Actual	Carrying	Increase/	tization)/	Recog-	(11 + 12 -	Carrying	Disposal	(Loss) on	(Loss) on	(Loss) on	Received	Maturity	dicator
ification	Description	eian		of Purchaser	Stock	eration	Par Value	Cost	Value	(Decrease)	Accretion	nized	13)	Value	Date	Disposal			DuringYear	Date	(a)
	Southern Co Corp Note Cont Call 5.300%									(= = = = = = = = = = = = = = = = = = =			,					-1			(-/
842587-AB-3				Maturity		250,000	250,000	249,855	249,999		1	0	1	0	250,000		0	0		01/15/2012 .	
87612E-AH-9	Target Corp Note MW 20BP 5.875% 03/01/12		03/01/2012 .	Maturity		125,000	125,000	128,331	125 , 137	0	(137)	0	(137)	0	125,000	0	0	0	3,672	_03/01/2012 _	1FE
	National Bank of Canada Cert of Deposit										_		_				_	_			
63306V-13-4	0.510% 02/03/12	A	02/03/2012 .	Maturity		1,000,000	1,000,000	1,000,000	999,998	0	2	0	2	0	1,000,000	0	0	0	5,1/1	02/03/2012 .	1FE
06740M-N5-8	Barclays Bank Cert of Deposit 0.470% 08/17/12	F	02/17/2012	Maturity		750,000	750.000	750,000	750,000	0	0	0	0	0	750,000	0	0	0	1 802	08/17/2012	1
	Subtotal - Bonds - Industrial and Misce					3.214.639	3.214.000	3.212.923	3,213,721	0	189	0	189	0	3.213.910	0	729	729	20.532	XXX	XXX
	Total - Bonds - Part 4	Jilanice	ous (Orlanni	ialeu)		5,217,576	4.949.533	5.103.996	5,056,689	0	(7.941)	0	(7.941)	0	5.048.747	0	168.829	168.829	46.227	XXX	XXX
	Total - Bonds - Part 5					XXX	4,949,533 XXX	3, 103,996 XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
										^^^		^^^		***		^^^					
	Total - Bonds					5,217,576	4,949,533	5,103,996	5,056,689	0	(7,941)	0	(7,941)	0	5,048,747	0	168,829	168,829	46,227	XXX	XXX
	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998.	Total - Common Stocks - Part 5	-				XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999.	Total - Common Stocks		-		-	0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999.	Total - Preferred and Common Stocks	i	•			0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 -	Totals					5.217.576	XXX	5.103.996	5.056.689	0	(7.941)	0	(7.941)	0	5.048.747	0	168.829	168.829	46.227	XXX	XXX

# Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open $N\ O\ N\ E$

Schedule DB - Part B - Section 1 - Futures Contracts Open  $\overline{N}$   $\overline{O}$   $\overline{N}$   $\overline{E}$ 

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  $N\ O\ N\ E$ 

Schedule DB - Part D - Counterparty Exposure for Derivative Instruments Open NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  $\overline{N}$   $\overline{O}$   $\overline{N}$   $\overline{E}$ 

#### **SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1	2	3	End Depository 4	5		ance at End of Eac		9		
			Amount of	Amount of	During Current Quarter 6 7 8					
			Interest Received	Interest Accrued	3	,	J			
Depository	Code	Rate of Interest	During Current Quarter	at Current Statement Date	First Month	Second Month	Third Month	*		
JP Morgan Chase Bank Detroit, Michigan					(4,789,907)	(5,927,135)	(2,300,180)			
Bank One Detroit, Michigan					9,707	10,042	10,448	XXX		
0199998. Deposits in depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						xxx		
0199999. Totals - Open Depositories	XXX	XXX	0	0	(4,780,200)	(5,917,093)	(2,289,732)			
0299998. Deposits in depositories that do not exceed the allowable limit in any one depository (See										
instructions) - Suspended Depositories 0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0			
0399999. Total Cash on Deposit	XXX	XXX	0	0	(4,780,200)	(5,917,093)	(2,289,732)			
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX		
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0599999. Total - Cash	XXX	XXX	0	0	(4,780,200)	(5,917,093)	(2,289,732)	XXX		
· · · · · · · · · · · · · · · · · · ·										

# **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

Onew mives		whea End of Curren	it Quarter			-	•
1	2	3	4	5	6 Book/Adjusted	Amount of Interest	8 Amount Received
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Carrying Value	Due and Accrued	During Year
0599999. Total - U.S. Government Bonds					0	0	0
1099999. Total - All Other Government Bonds					0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999. Total - U.S. Political Subdivisions Bonds					0	0	0
3199999. Total - U.S. Special Revenues Bonds					0	0	0
Kraft Foods Inc Corp Note Non Call 50075NAH7		03/08/2012	6.250	06/01/2012	504,724	10,417	(1,471
British Telecom Disc Comm Paper		03/07/2012	0.650	06/07/2012	749,092	0	325
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					1,253,816	10,417	(1,146
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds					1,253,816	10,417	(1,146
4899999. Total - Hybrid Securities					0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
779999. Total - Issuer Obligations					1,253,816	10,417	(1,146
7899999. Total - Residential Mortgage-Backed Securities					0	0	0
7999999. Total - Commercial Mortgage-Backed Securities					0	0	0
8099999. Total - Other Loan-Backed and Structured Securities					0	0	0
8399999. Total Bonds					1,253,816	10,417	(1,146
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8699999 - Total Cash Equivalents		+	<u> </u>		1,253,816	10.417	(1,146
					.,200,010	10,717	(1,140